

# Lecture 8: Multimodal data, multimodal models, weakly and self-supervised learning

# Announcements

- A2 due next Tue Nov 1
- Midterm Mon Nov 7 **in-class**
  - 80 minutes
  - 1 page 8.5" x 11" of notes allowed (back and front)
  - No calculators allowed or needed
  - Covers material through "Genomics: Introduction"
  - Practice midterm will be released about a week before the midterm

# Today

- Multimodal data and models
- Weakly and self-supervised learning

# Multimodal data

Can be very similar, e.g. different image acquisition variants

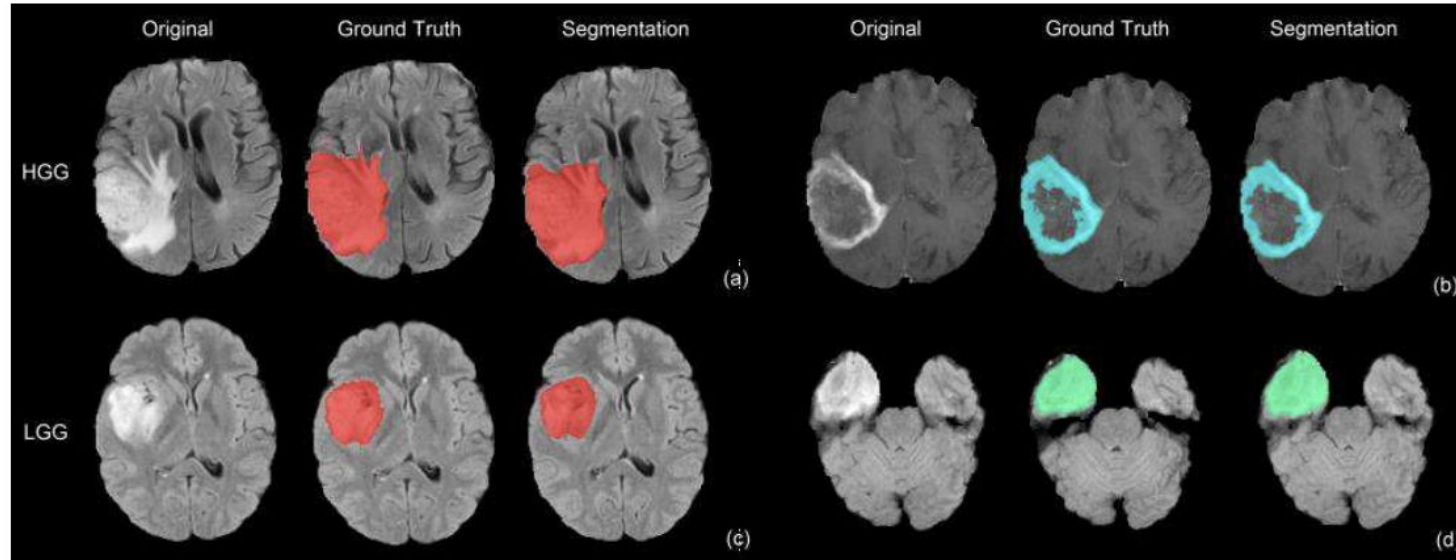


Figure credit: Dong et al. MIUA, 2017.

# Multimodal data

Or very different, e.g. different types of clinical data

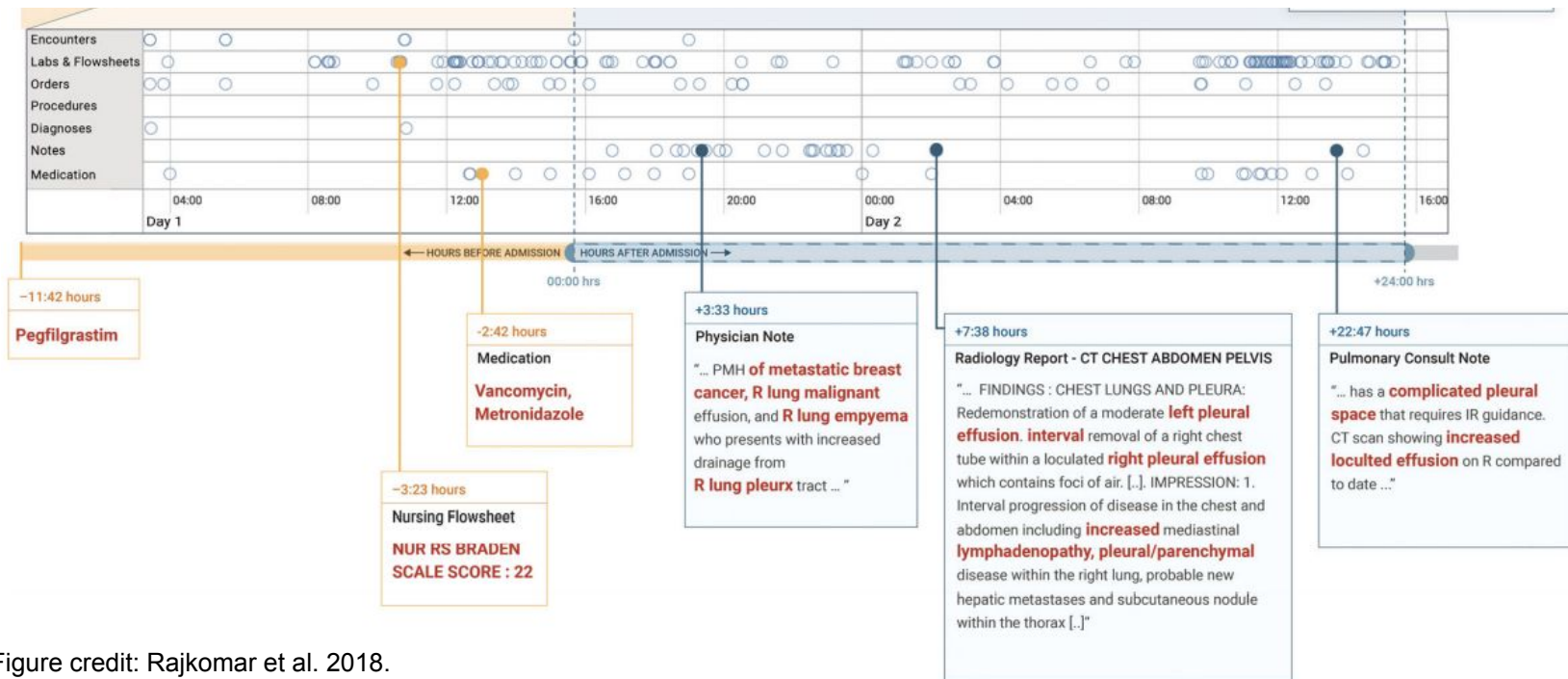
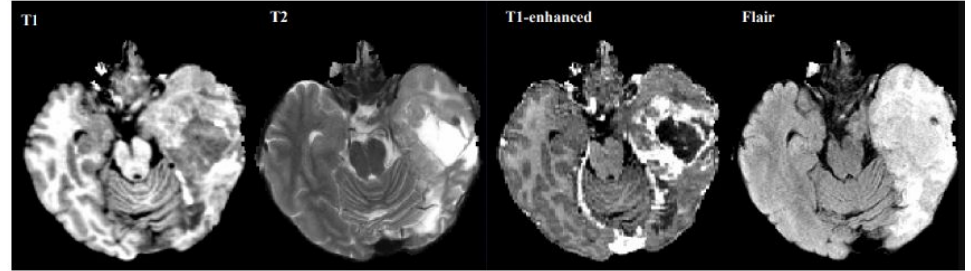


Figure credit: Rajkomar et al. 2018.

# Similar data: can fuse at input

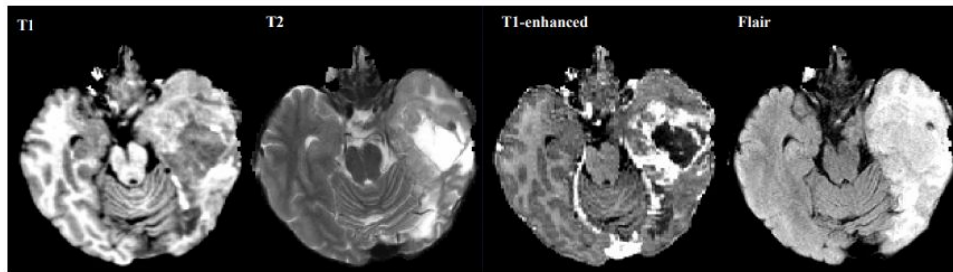
- Havaei et al.: brain tumor segmentation from multimodal MR images



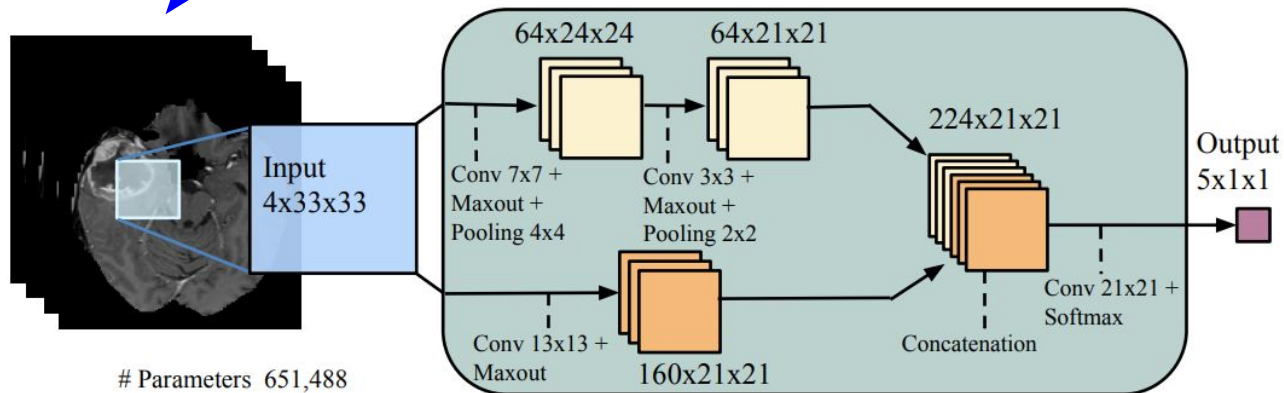
Havaei et al. Brain Tumor Segmentation with Deep Neural Networks. Medical Image Analysis, 2016.

# Similar data: can fuse at input

- Havaei et al.: brain tumor segmentation from multimodal MR images



Stack modalities such that each channel of input is a different modality.



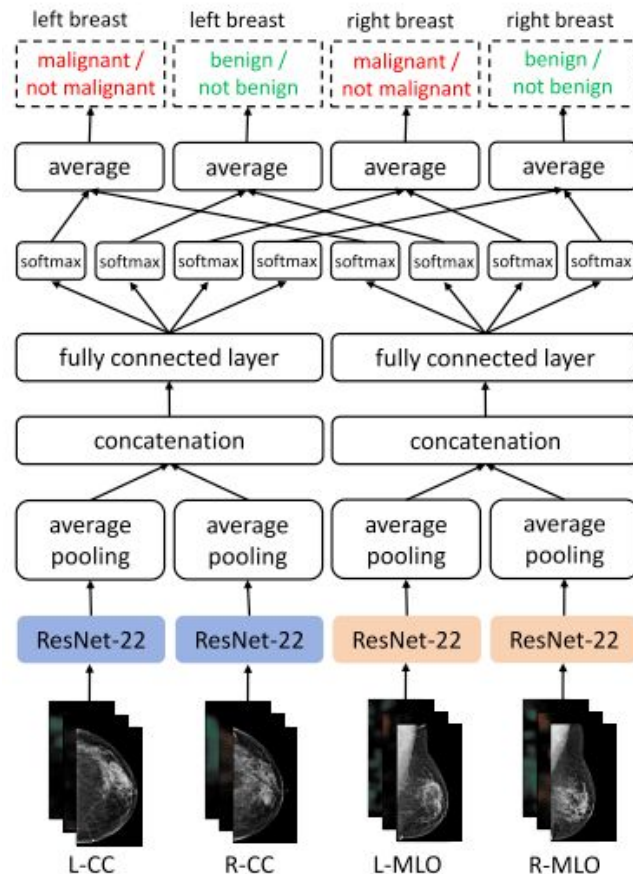
Havaei et al. Brain Tumor Segmentation with Deep Neural Networks. Medical Image Analysis, 2016.

# More different data: may want some layers of modality-specific processing

Wu et al. 2019:

- Binary classification of breast malignant and benign findings
- Model based on ResNet architecture
- Multi-view network (different views can be considered different modalities)

Wu et al. Deep Neural Networks Improve Radiologists' Performance in Breast Cancer Screening. IEEE Trans Med Imaging, 2019.



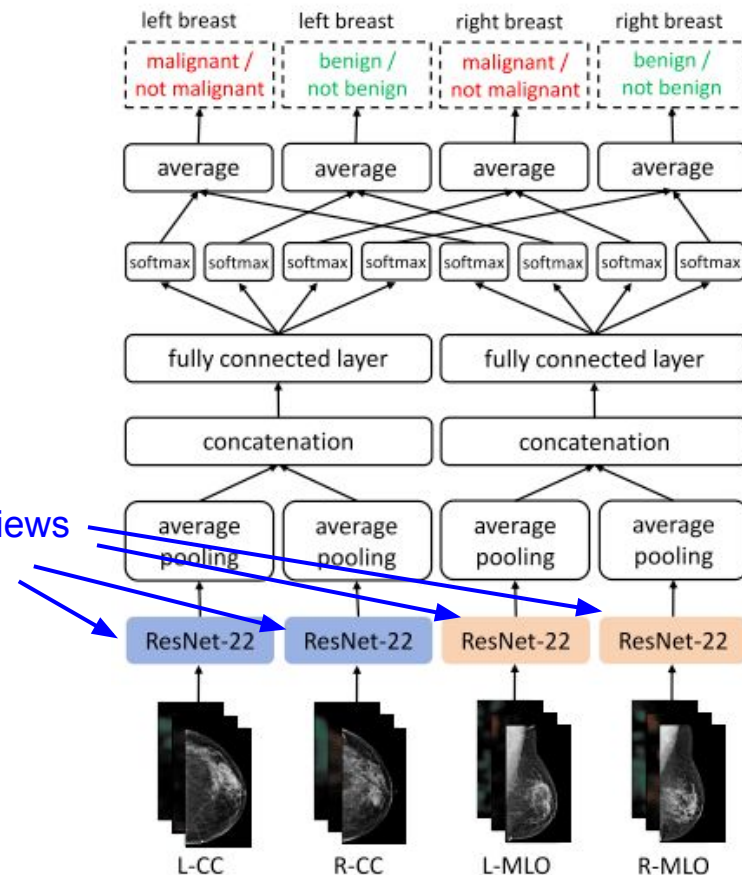


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Separate initial processing for different mammogram views



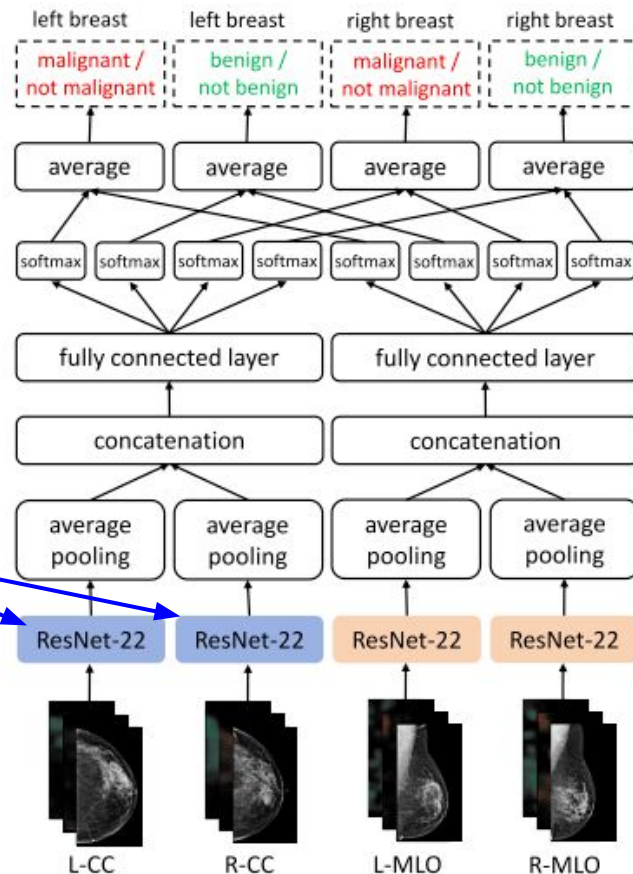
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Shared weights  
across the two  
networks



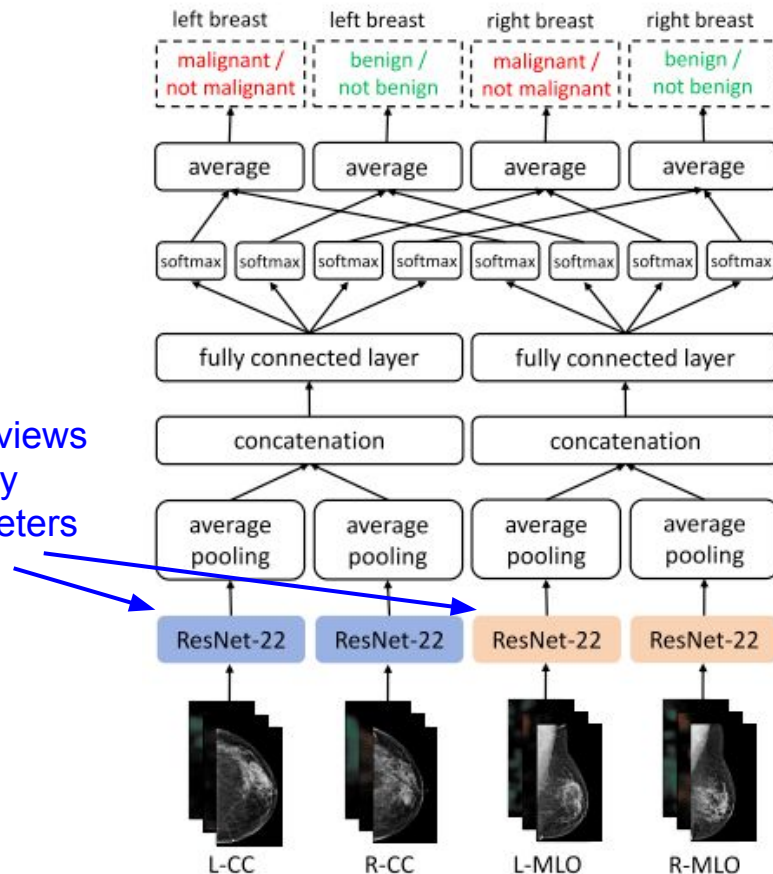
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More different views  
have separately  
learned parameters



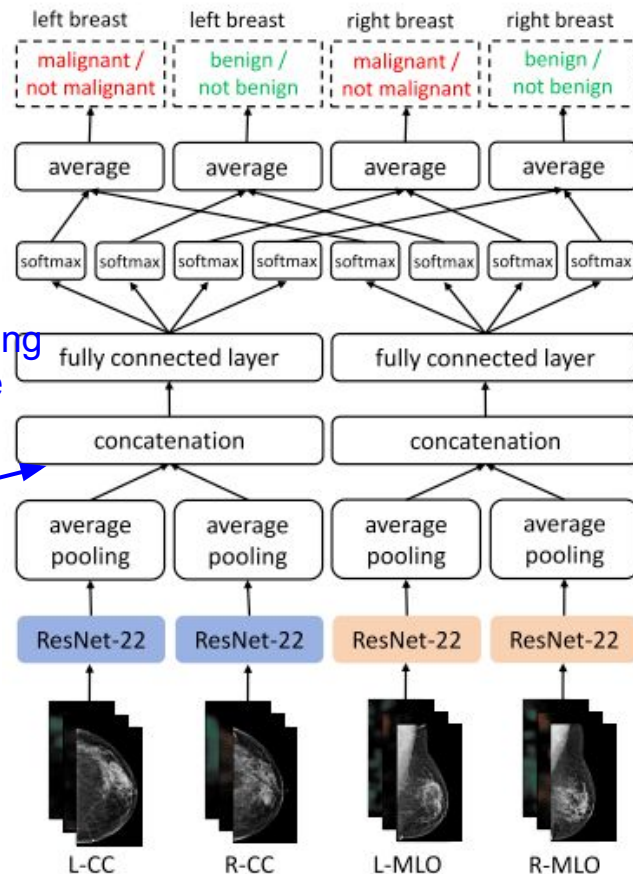
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Multimodal fusion at intermediate part of processing (very common): concatenate outputs of modality-specific processing into one feature vector.



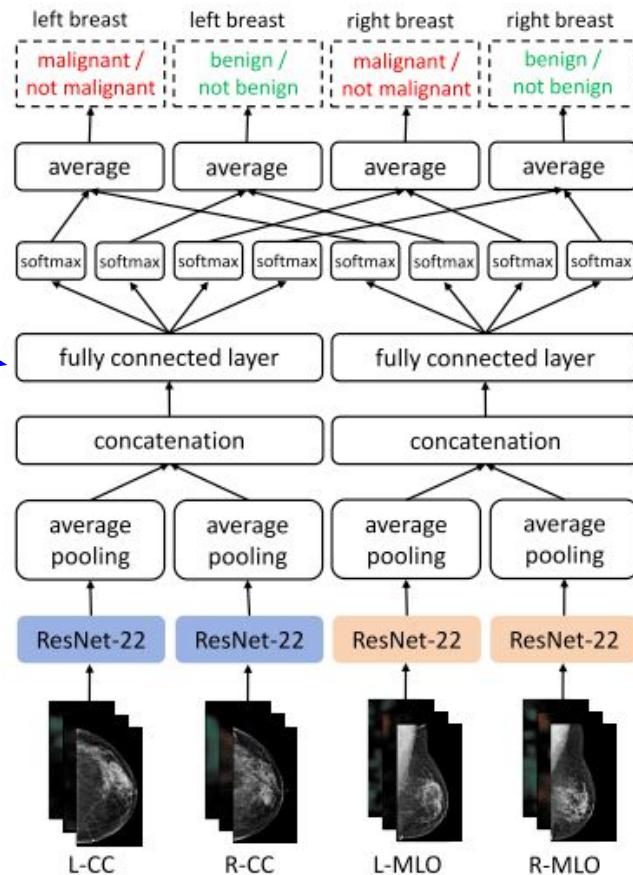
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Fully connected layer (or several) afterwards.  
Concatenated feature vector no longer contains spatial relationships suitable for conv layers.



Wu et al. Deep Neural Networks Improve Radiologists' Performance in Breast Cancer Screening. IEEE Trans Med Imaging, 2019.

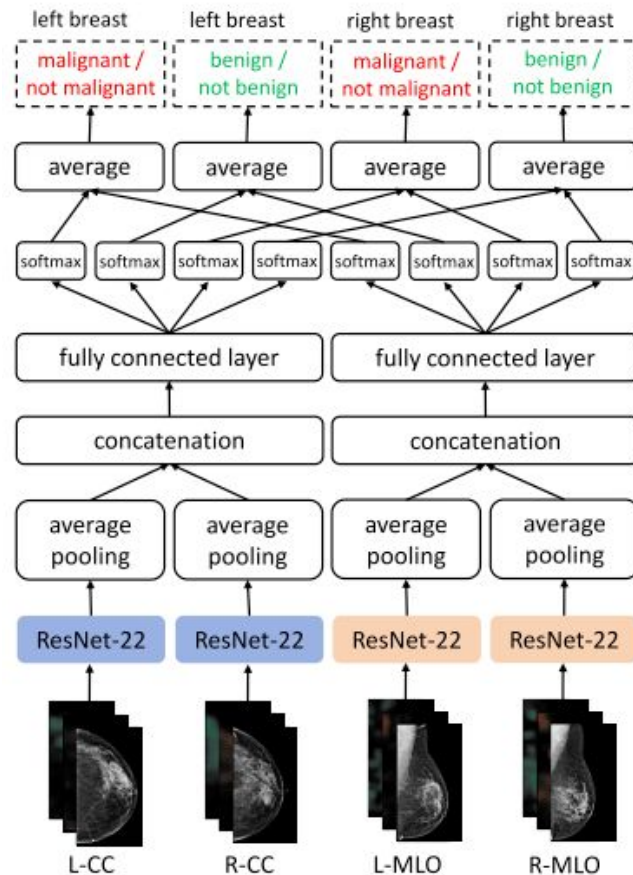
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Predict all  
4 binary  
outputs  
from each  
view

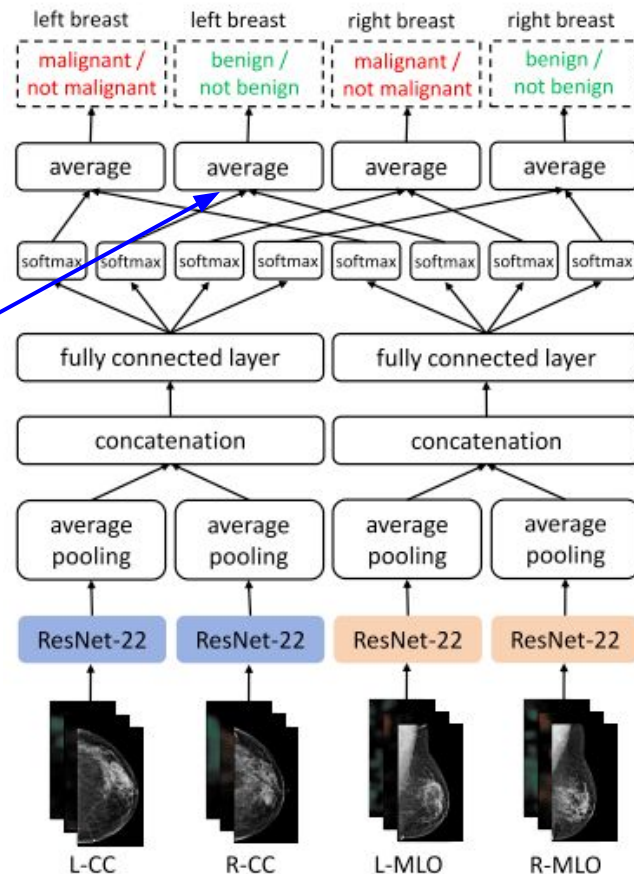


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This model also uses a second type of fusion for the CC vs. MLO views: late fusion of predictions through averaging.

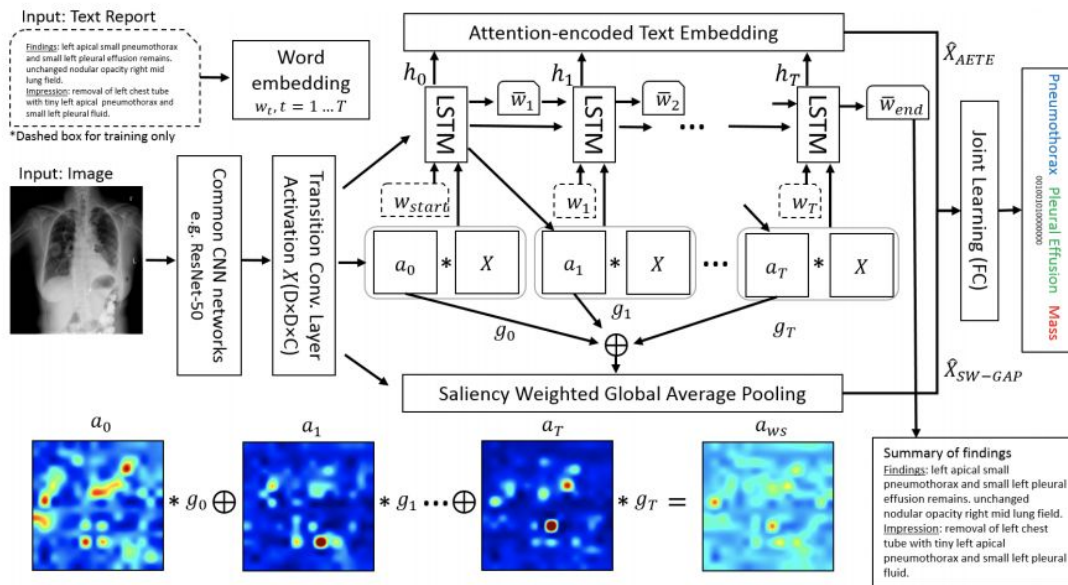


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# A recurrent network approach for combining multimodal data

Wang et al. 2018:

- Jointly process chest x-rays and associated reports to produce disease labels that can be used to produce auto-annotation disease labels



Wang et al. TieNet: Text-Image Embedding Network for Common Thorax Disease Classification and Reporting in Chest X-rays. CVPR, 2018.

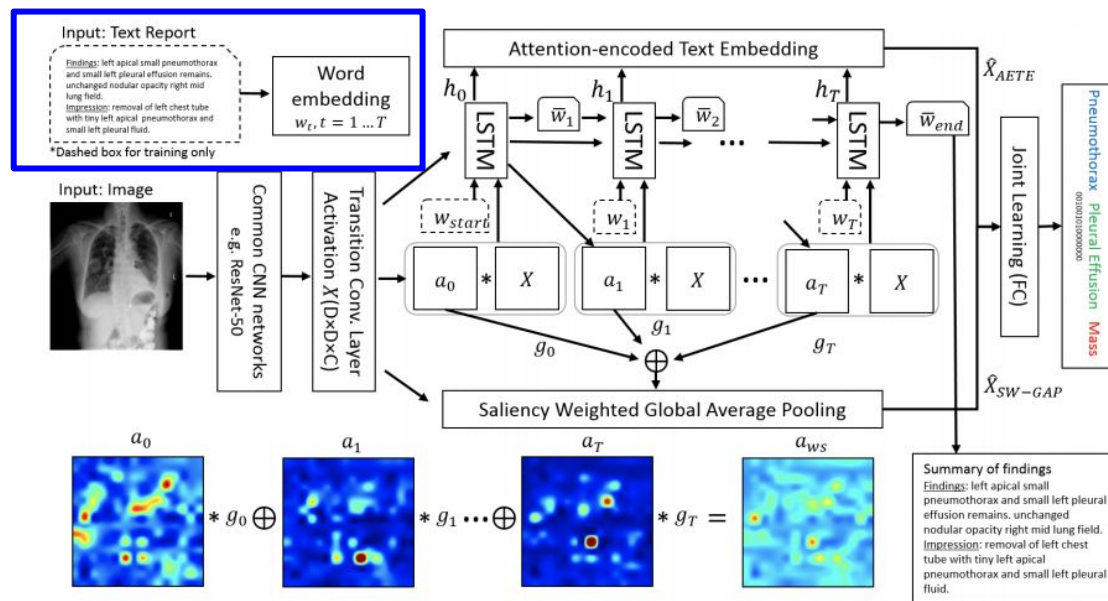


# A recurrent network approach for combining multimodal data

Wang et al. 2018:

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Use NLP approaches to generate word embedding representations of words in text



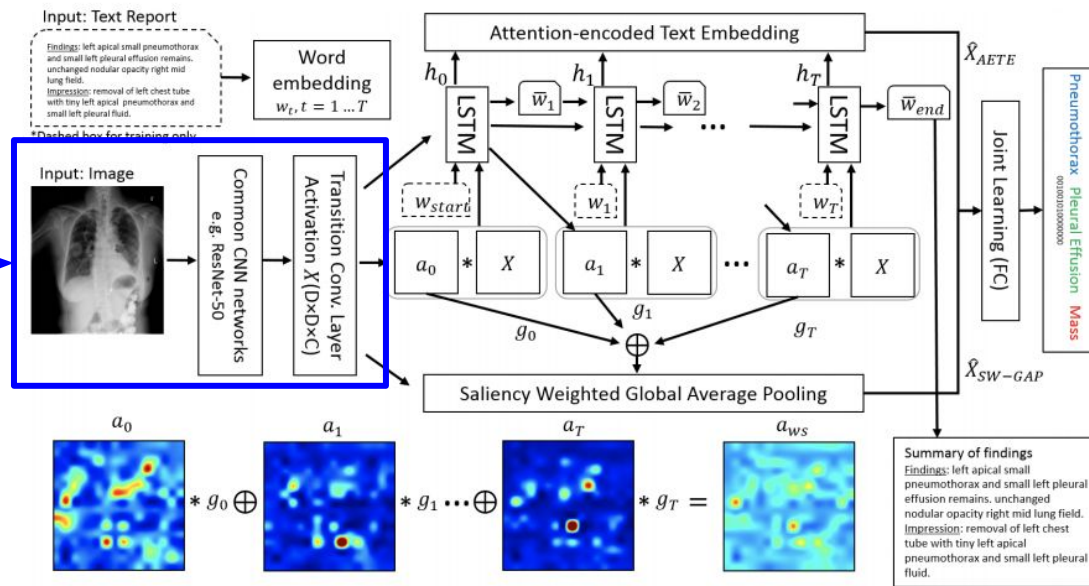
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Use common CNN networks to generate feature representation of image data



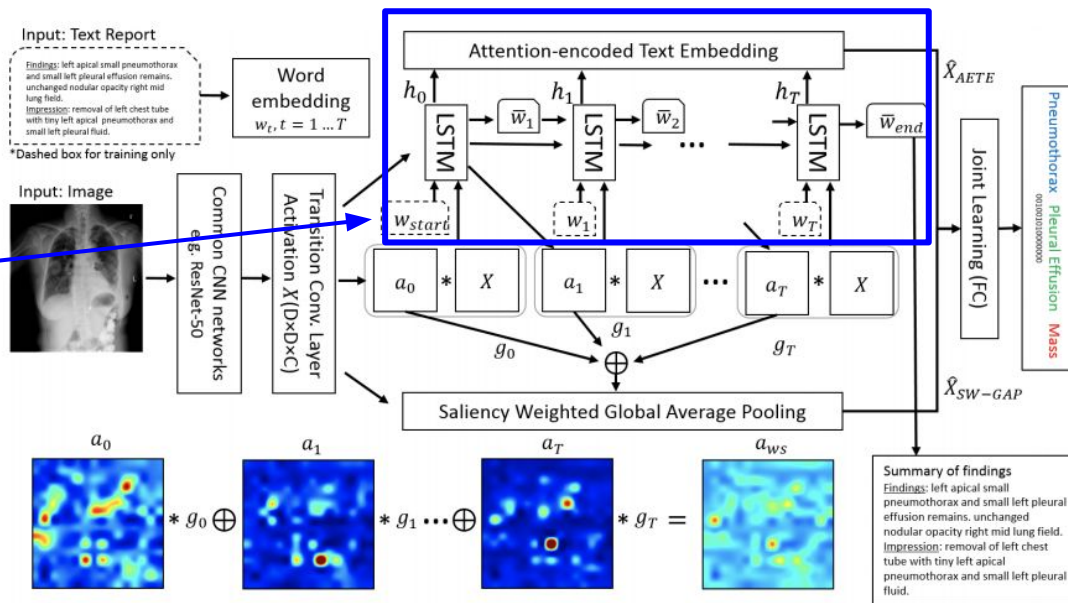
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Use LSTM to process sequence of text data embedding representations



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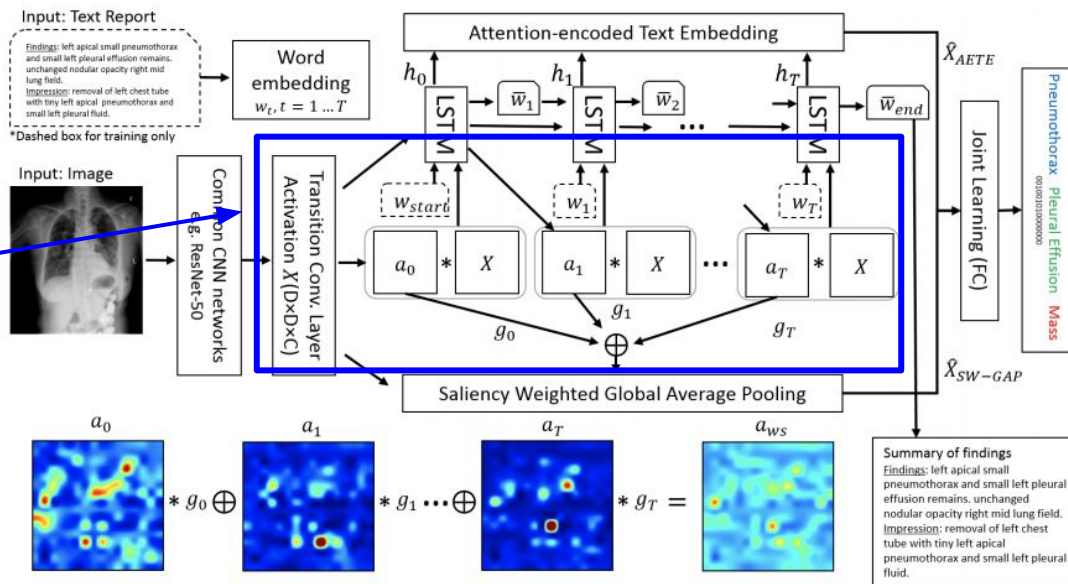
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Image data is an additional input to the LSTM at each time step (with soft-attention weighting)

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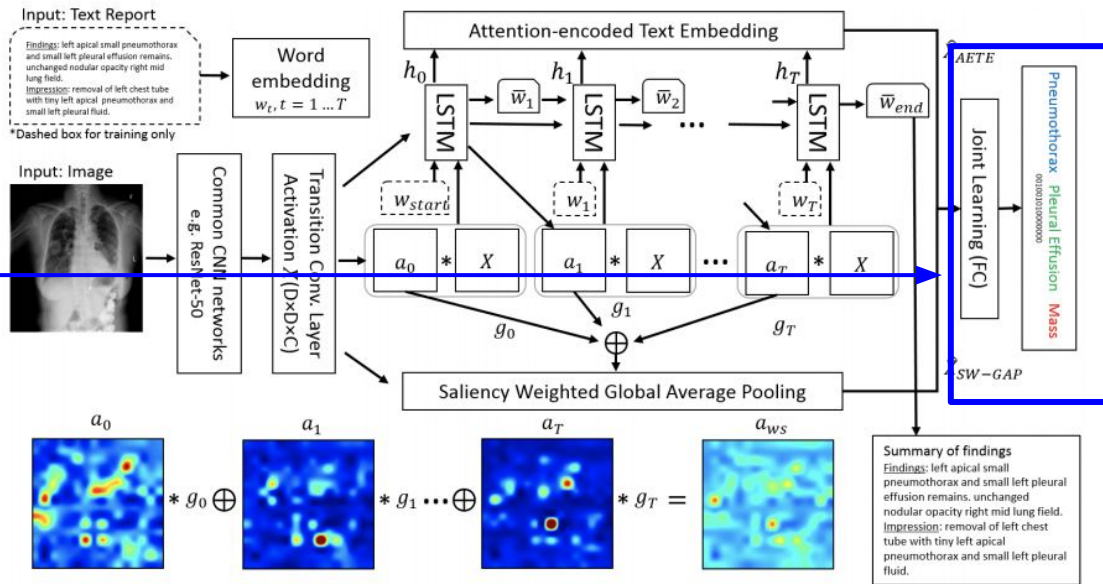


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Final fully-connected layer fusion and prediction of disease labels

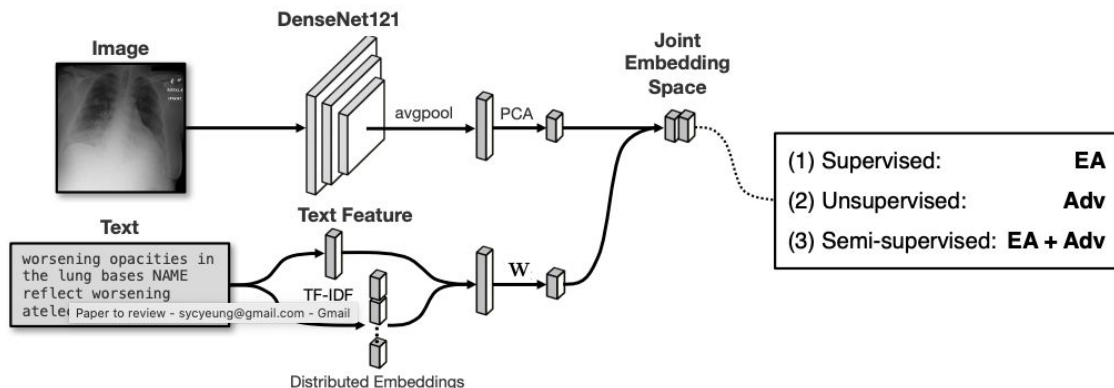


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# Another direction of research: learning multimodal embedding spaces

Hsu et al. 2018:

- Learn mapping from images and text to vectors in the same embedding space, such that images are embedded closer to their corresponding reports than other reports, and vice versa.
- Can be used for e.g. cross-domain retrieval

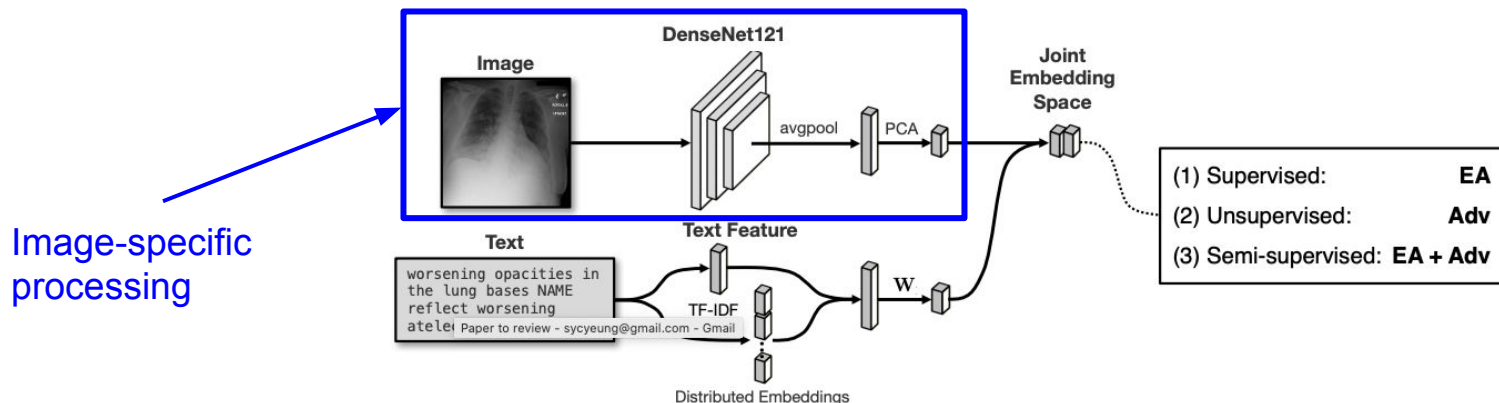


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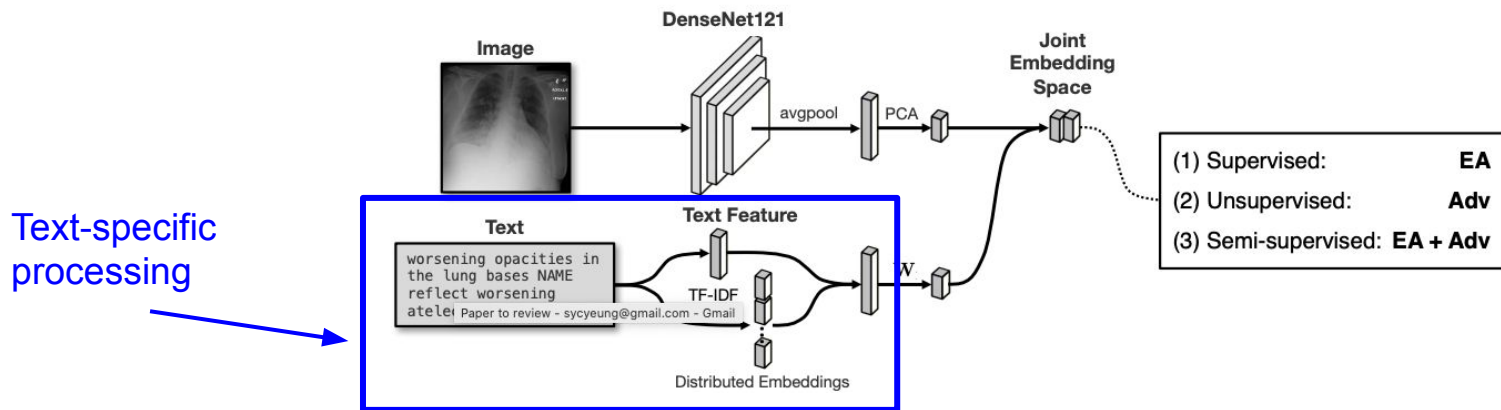


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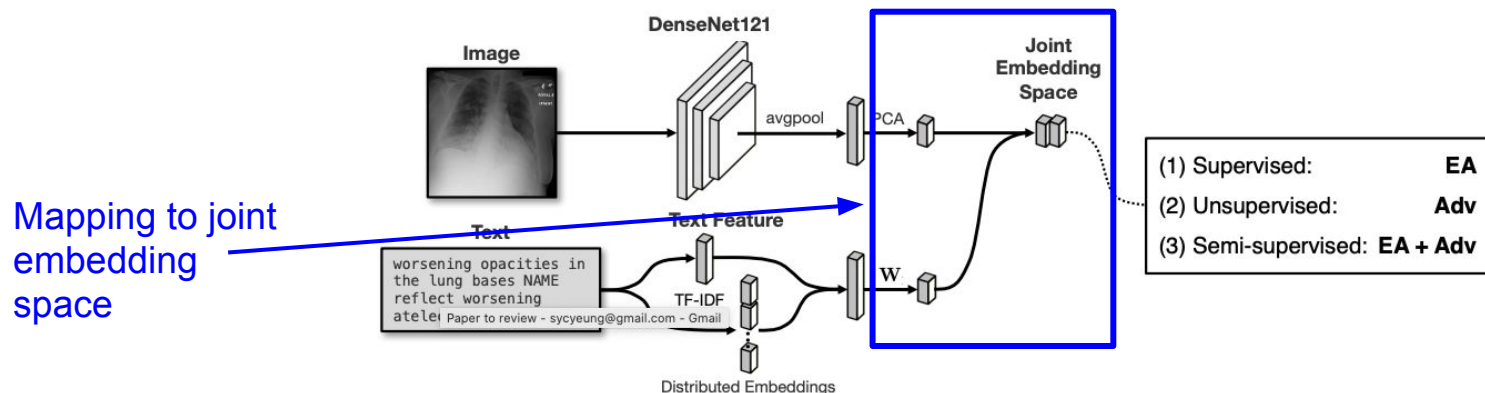
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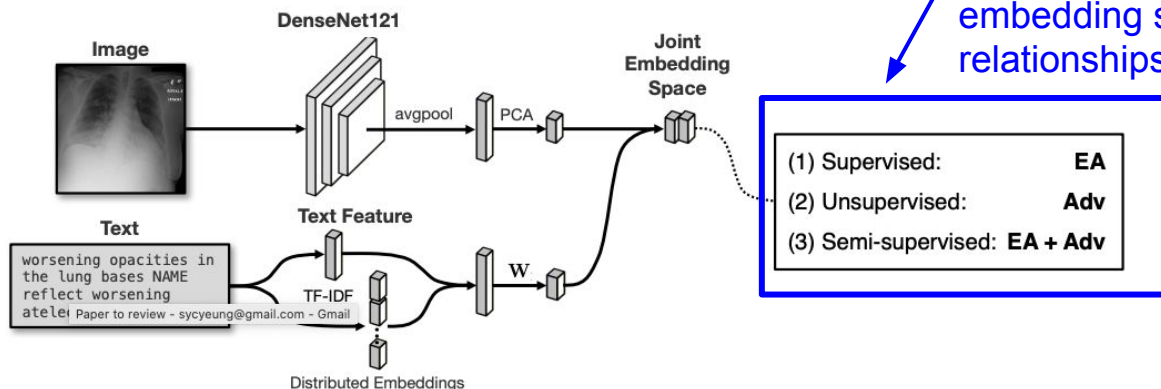


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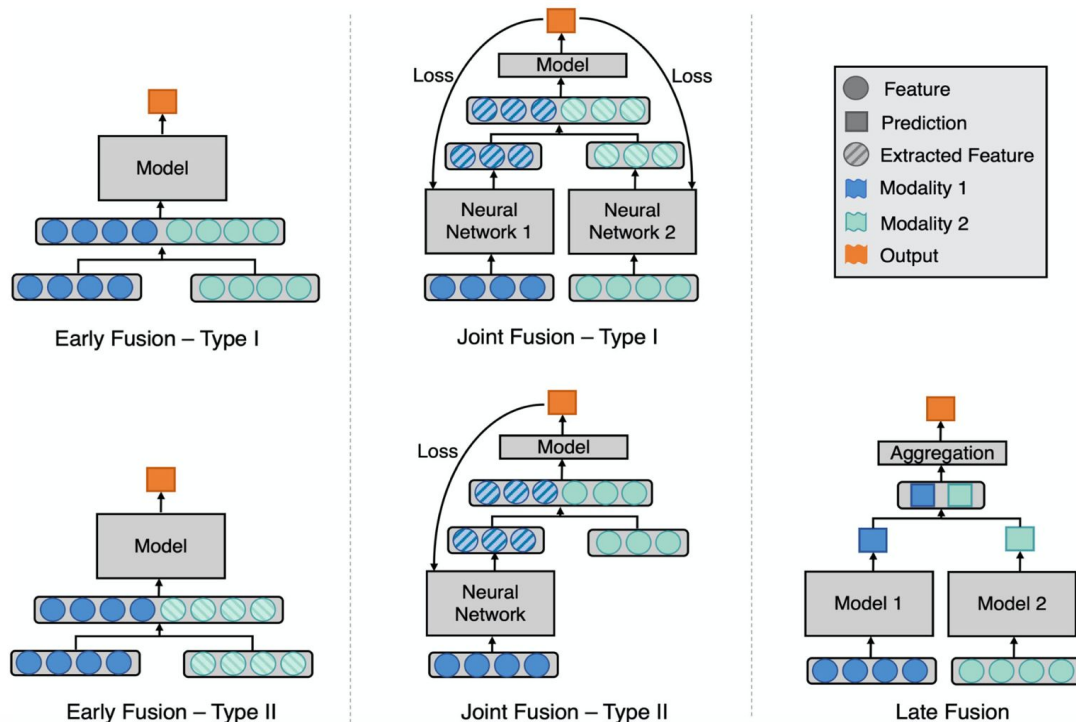
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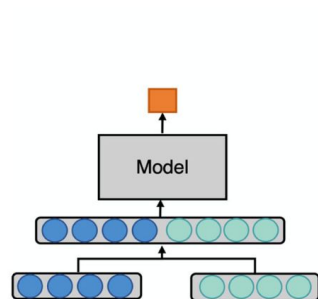
# Categorizations of multimodal models



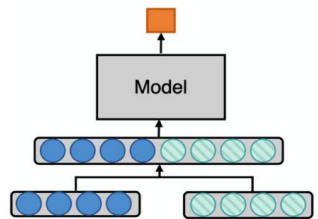
Huang et al. Fusion of medical imaging and electronic health records using deep learning: a systematic review and implementation guidelines, 2020.

# Categorizations of multimodal models

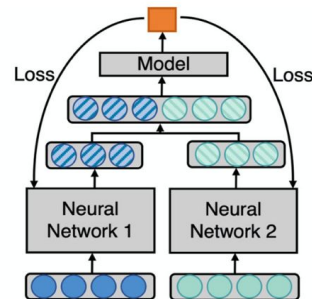
Early fusion:  
concatenate /  
combine data  
before any model  
processing.  
Includes using  
extracted features  
as input, if model  
gradients are not  
backpropagated to  
update feature  
extractor  
parameters



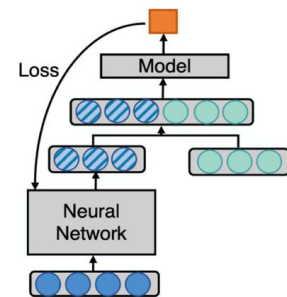
Early Fusion – Type I



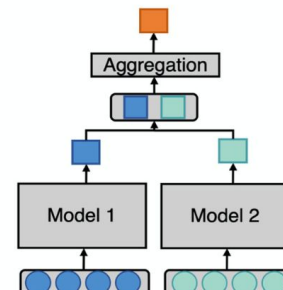
Early Fusion – Type II



Joint Fusion – Type I



Joint Fusion – Type II

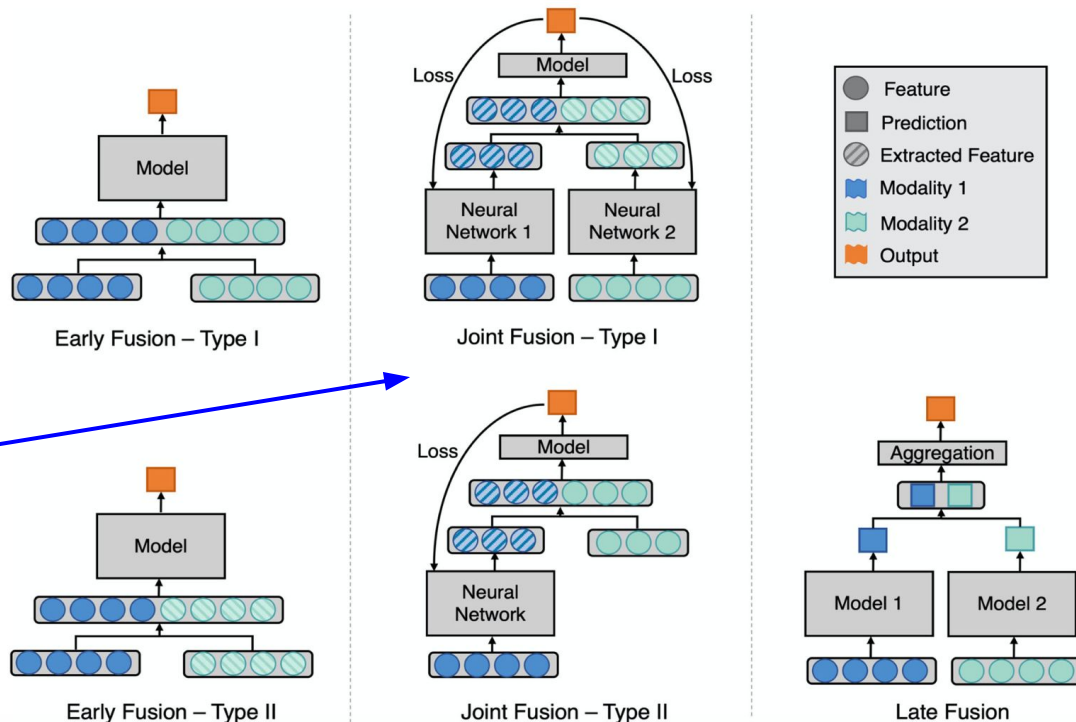


Late Fusion

Huang et al. Fusion of medical imaging and electronic health records using deep learning: a systematic review and implementation guidelines, 2020.

# Categorizations of multimodal models

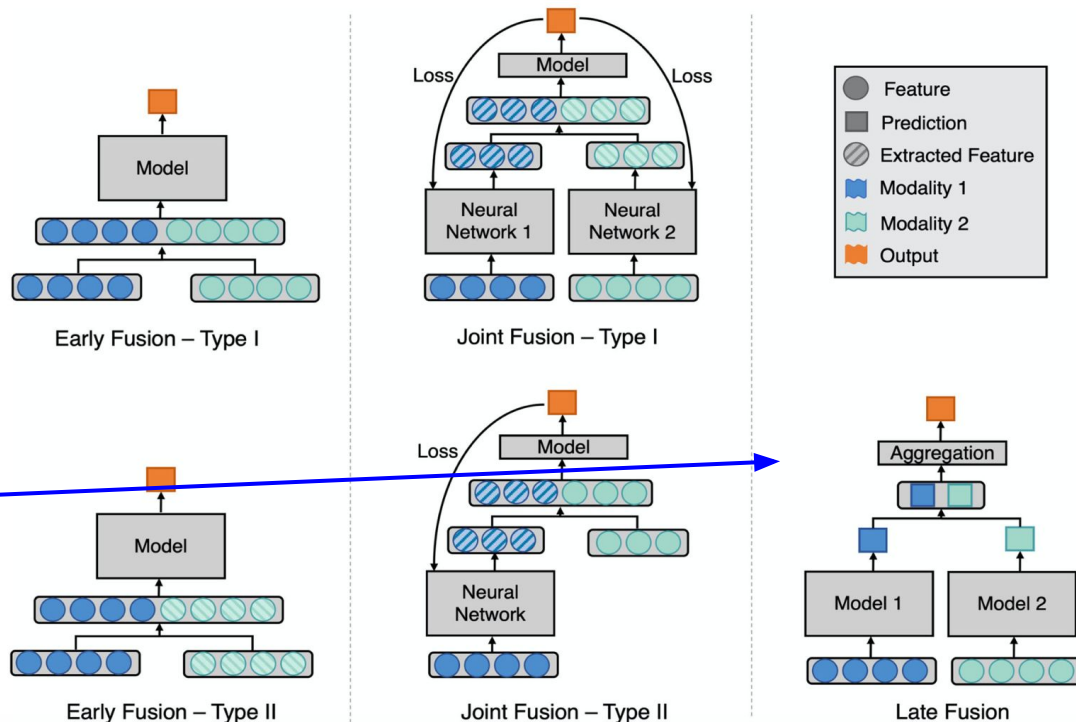
Joint fusion: Both modality-specific components (with learnable parameters) and combined-modality components within the model, that are updated during model training



Huang et al. Fusion of medical imaging and electronic health records using deep learning: a systematic review and implementation guidelines, 2020.

# Categorizations of multimodal models

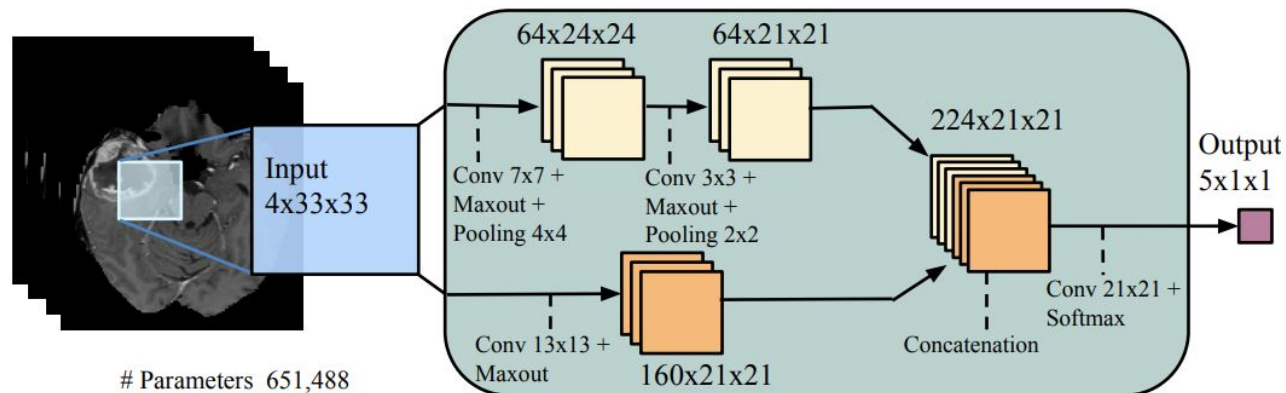
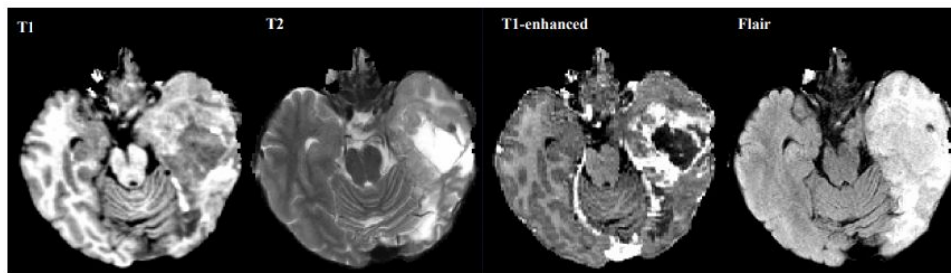
Late fusion:  
Main learnable  
components are  
only model  
specific.  
Individual  
modality outputs  
are then  
aggregated.



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# Q: What kind of fusion was this model?

- Havaei et al.: brain tumor segmentation from multimodal MR images



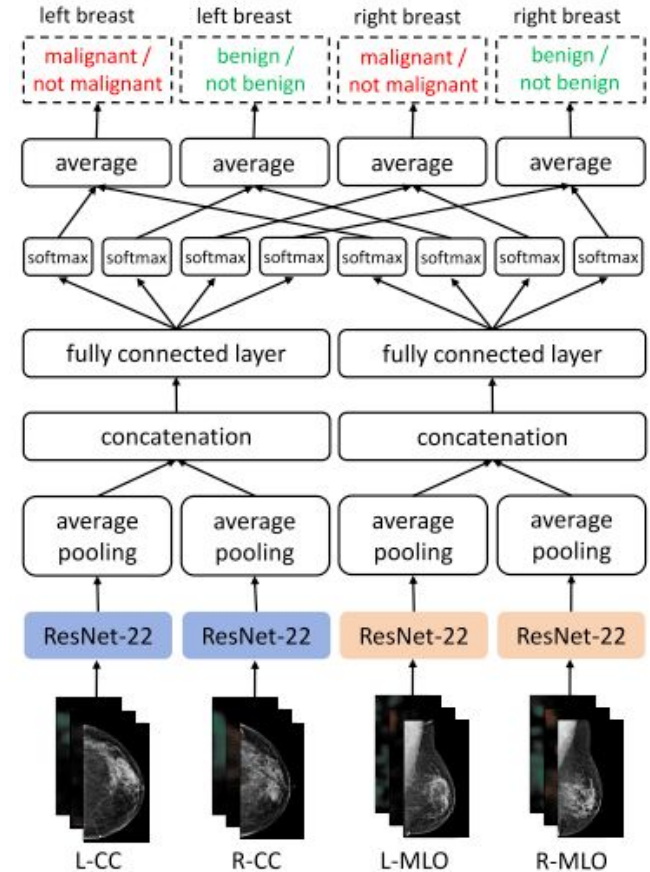
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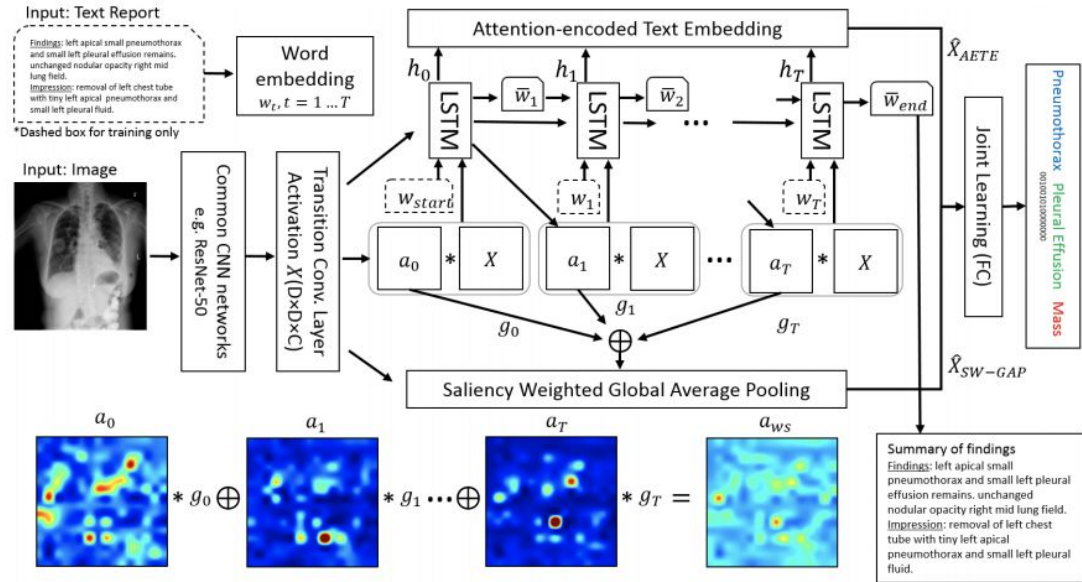




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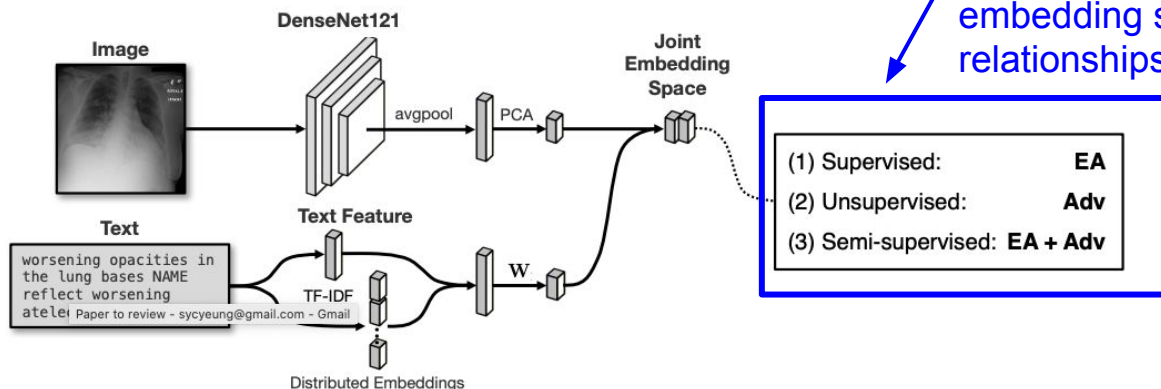


Wang et al. TieNet: Text-Image Embedding Network for Common Thorax Disease Classification and Reporting in Chest X-rays. CVPR, 2018.

# Back to learning multimodal embedding spaces

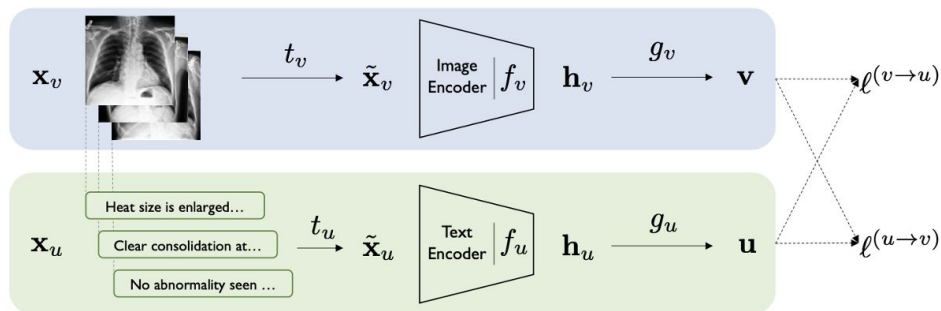
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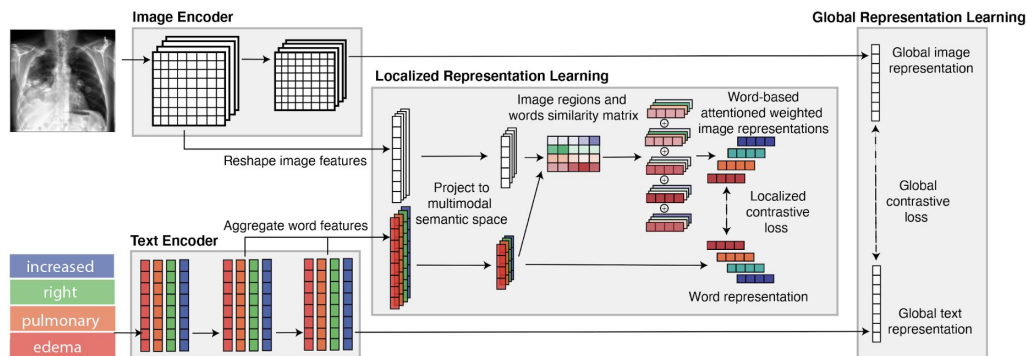


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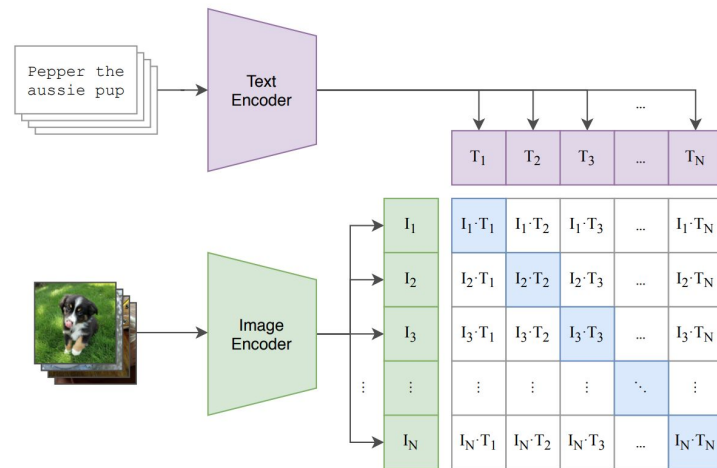
# A little more: learning multimodal embedding spaces through **contrastive learning**



Zhang et al. 2020.



Huang et al. 2021.



Radford et al. 2021.

To understand contrastive learning, first understand self-supervised learning

**Traditional supervised learning** trains a model to perform a prediction task, using paired training data of inputs with corresponding ground truth labels for the desired task (e.g., manual class labels or EHR-obtained labels).

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**Self-supervised learning** does not directly train a model to perform the desired prediction task. Instead, it generates supervisory training signal from raw data itself to learn a good feature encoder for the data type. No external labels (e.g, manual class labels) are used during self-supervised training. Then, this feature encoder can be useful for downstream tasks, such as initializing and fine-tuning a prediction model with much less labeled data needed.

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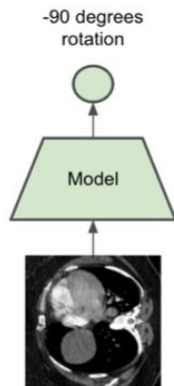
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Effective way to tackle challenges of limited labeled data! Related to earlier discussion on pre-training on larger datasets and transfer learning, now we can also use self-supervised learning to pre-train on larger amounts of **unlabeled** data from the same domain.

# To understand contrastive learning, first understand self-supervised learning

Some common types of self-supervised learning objectives:



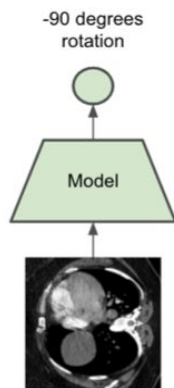
## **Innate relationship objective**

E.g., predict rotation angle (or some other innate property) of an image

Figure credit: Mars Huang

# To understand contrastive learning, first understand self-supervised learning

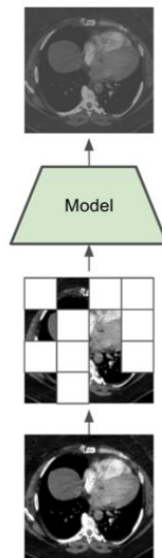
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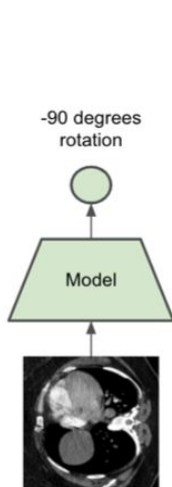
## Self-prediction objective

Mask parts of input data and predict these parts



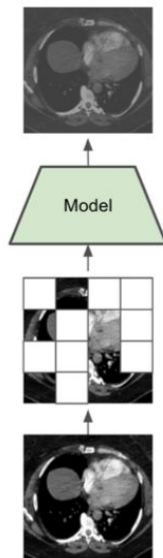
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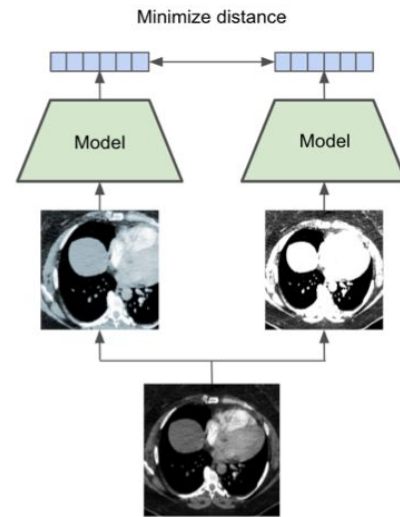


**Innate relationship objective**  
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**Self-prediction objective**  
Mask parts of input data and predict these parts

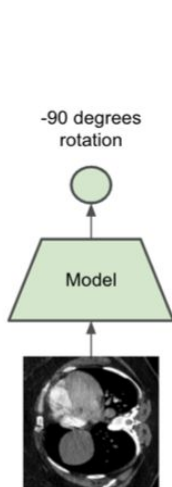


**Contrastive objective**  
Different views of the same input should have more similar representation to each other than with a different input

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Some common types of self-supervised learning objectives:

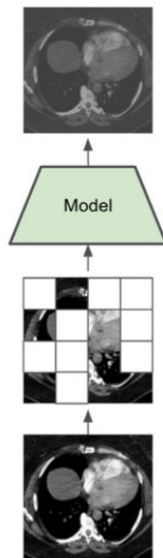
Can have varied formulations of these objectives within each type



## Innate relationship objective

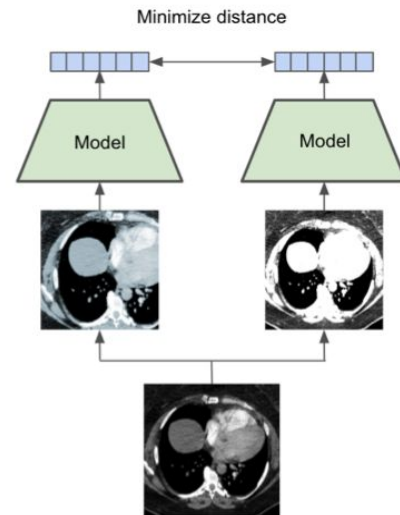
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## Self-prediction objective

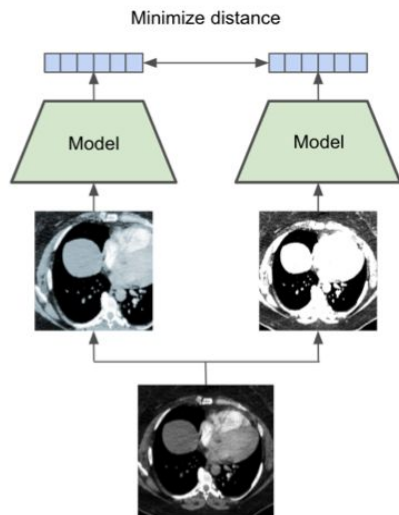
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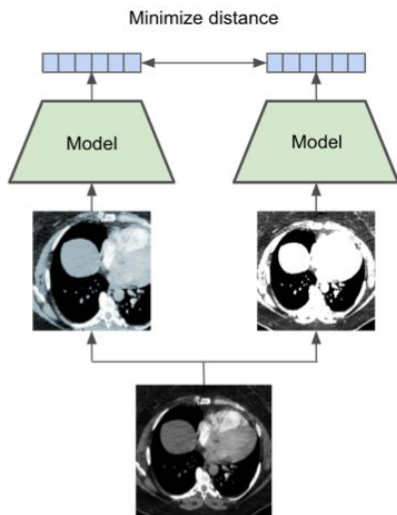
# SimCLR: a common approach for **contrastive** self-supervised learning



## **Contrastive objective**

Different views of the same input should have more similar representation to each other than with a different input

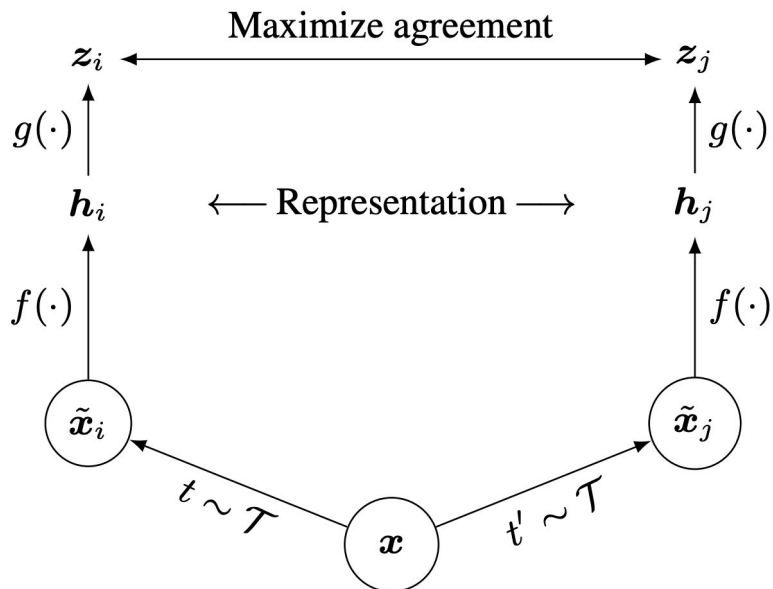
# SimCLR: a common approach for **contrastive** self-supervised learning



## **Contrastive objective**

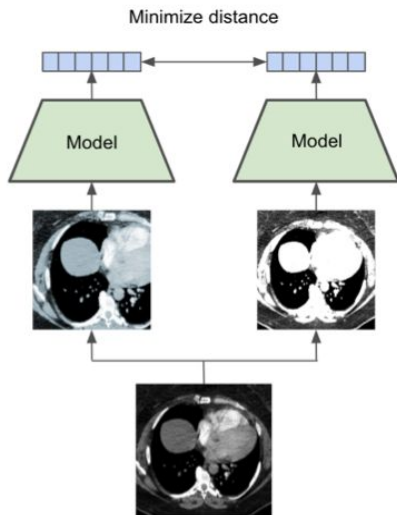
Different views of the same input should have more similar representation to each other than with a different input

## **SimCLR formulation**



Chen et al. 2020

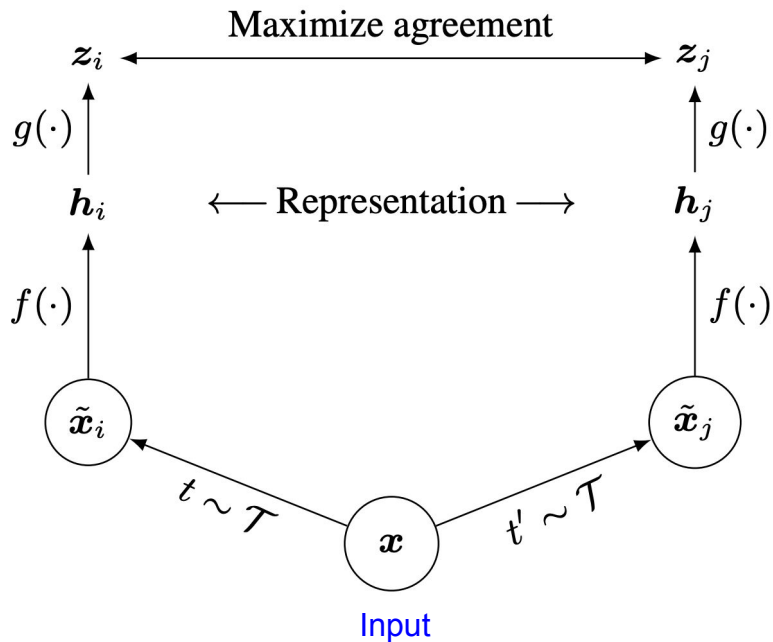
# SimCLR: a common approach for **contrastive** self-supervised learning



## Contrastive objective

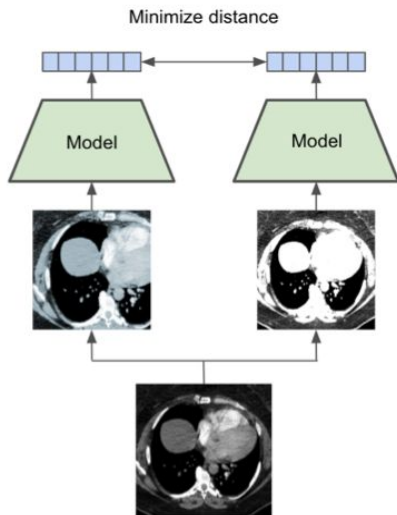
Different views of the same input should have more similar representation to each other than with a different input

## SimCLR formulation



Chen et al. 2020

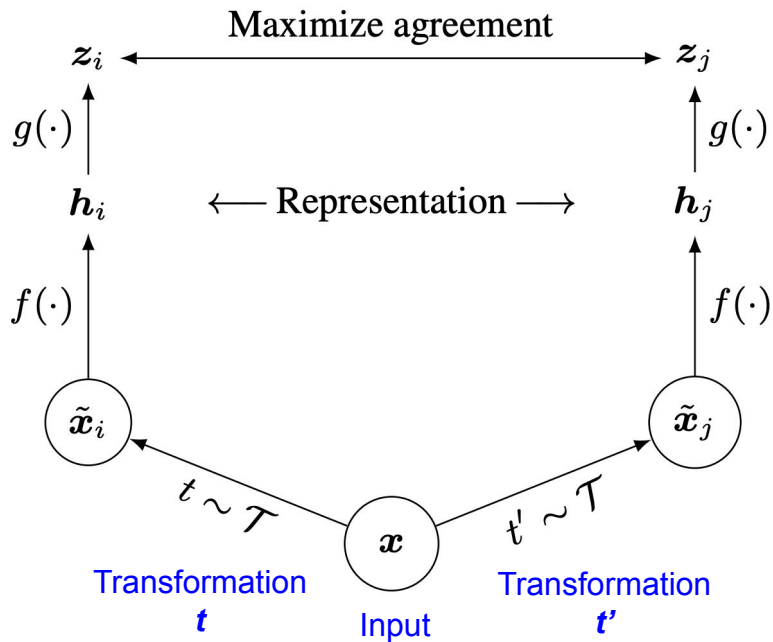
# SimCLR: a common approach for **contrastive** self-supervised learning



## **Contrastive objective**

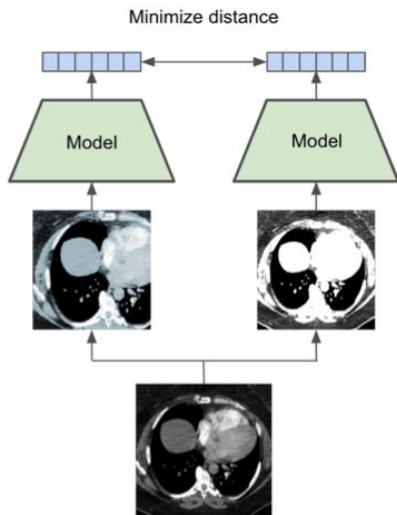
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## **SimCLR formulation**



Chen et al. 2020

# SimCLR: a common approach for **contrastive** self-supervised learning

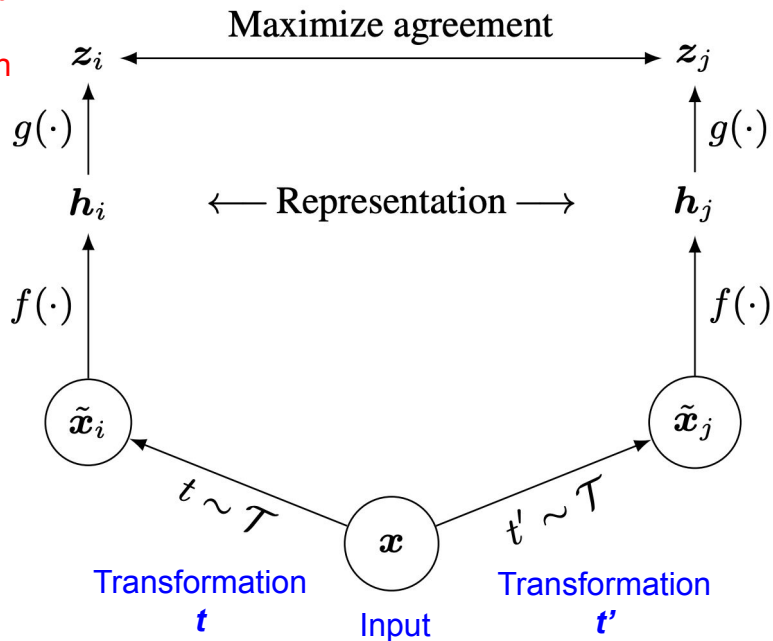


Transformation set:  
random crop (w/ flip  
and resize), color  
distortion, Gaussian  
blur

## Contrastive objective

Different views of the same input should have more similar representation to each other than with a different input

## SimCLR formulation

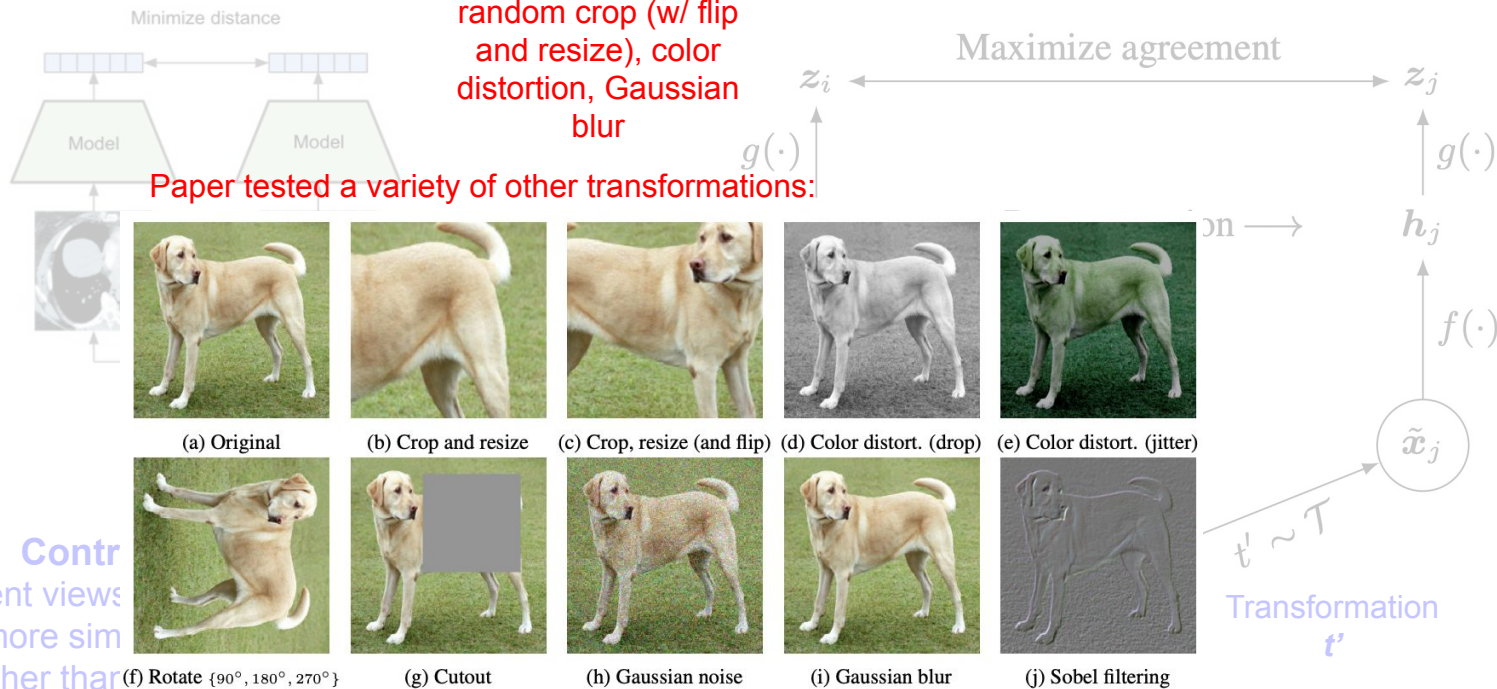


Chen et al. 2020

# SimCLR: a common approach for **contrastive** self-supervised learning

Transformation set:  
random crop (w/ flip  
and resize), color  
distortion, Gaussian  
blur

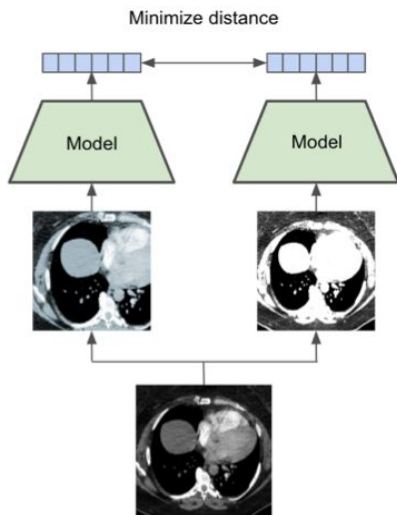
SimCLR formulation



Chen et al. 2020



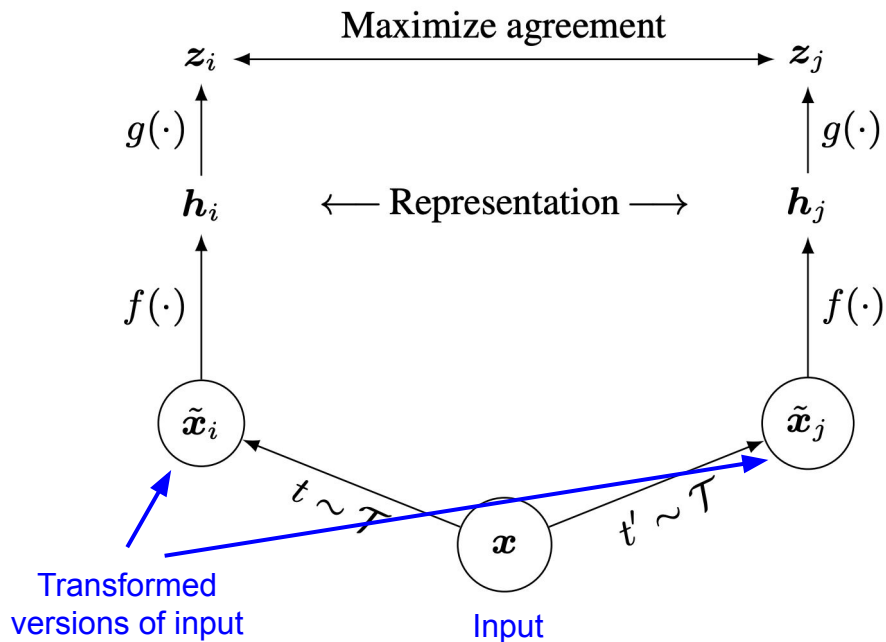
# SimCLR: a common approach for **contrastive** self-supervised learning



## Contrastive objective

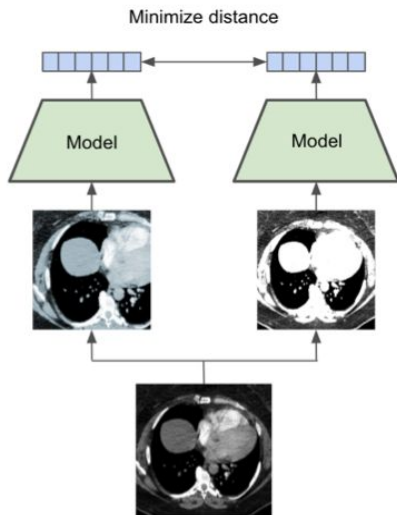
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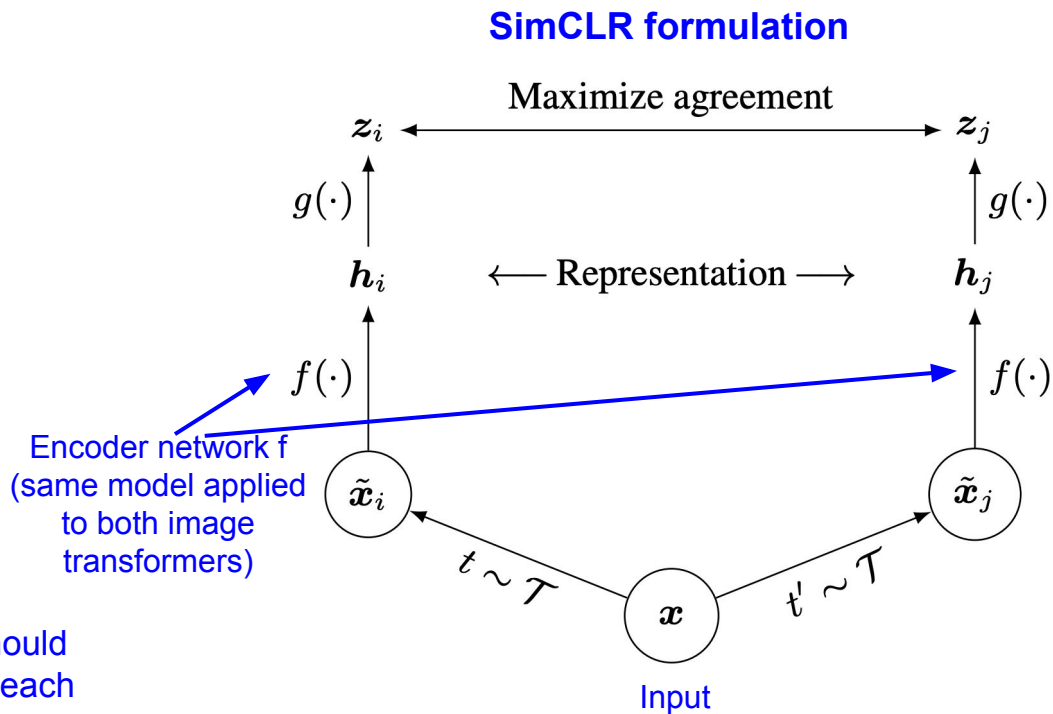
Chen et al. 2020

# SimCLR: a common approach for **contrastive** self-supervised learning



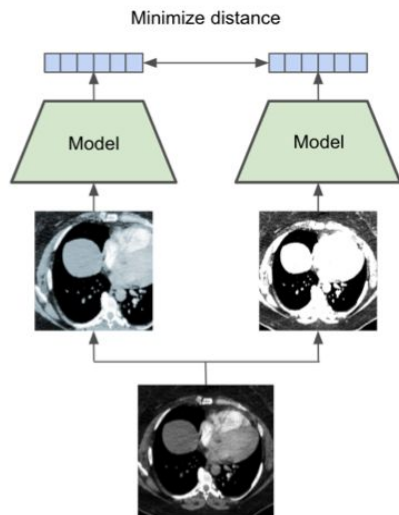
## Contrastive objective

Different views of the same input should have more similar representation to each other than with a different input



Chen et al. 2020

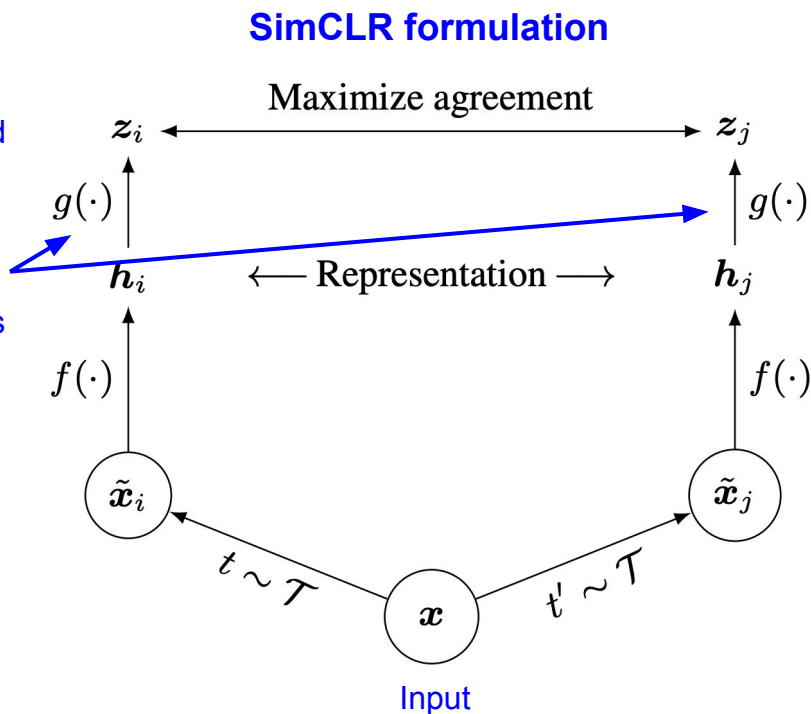
# SimCLR: a common approach for **contrastive** self-supervised learning



## Contrastive objective

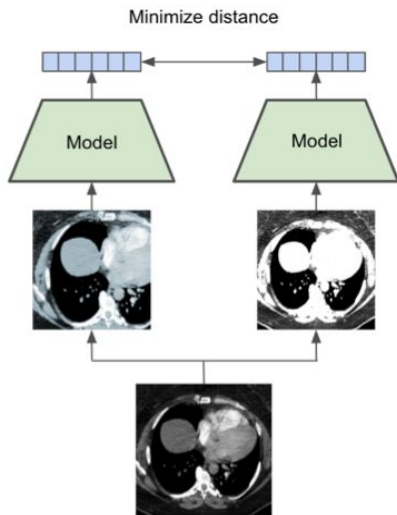
Different views of the same input should have more similar representation to each other than with a different input

Projection head (MLP w/ one hidden layer), same network applied to both representations  $h_i, h_j$



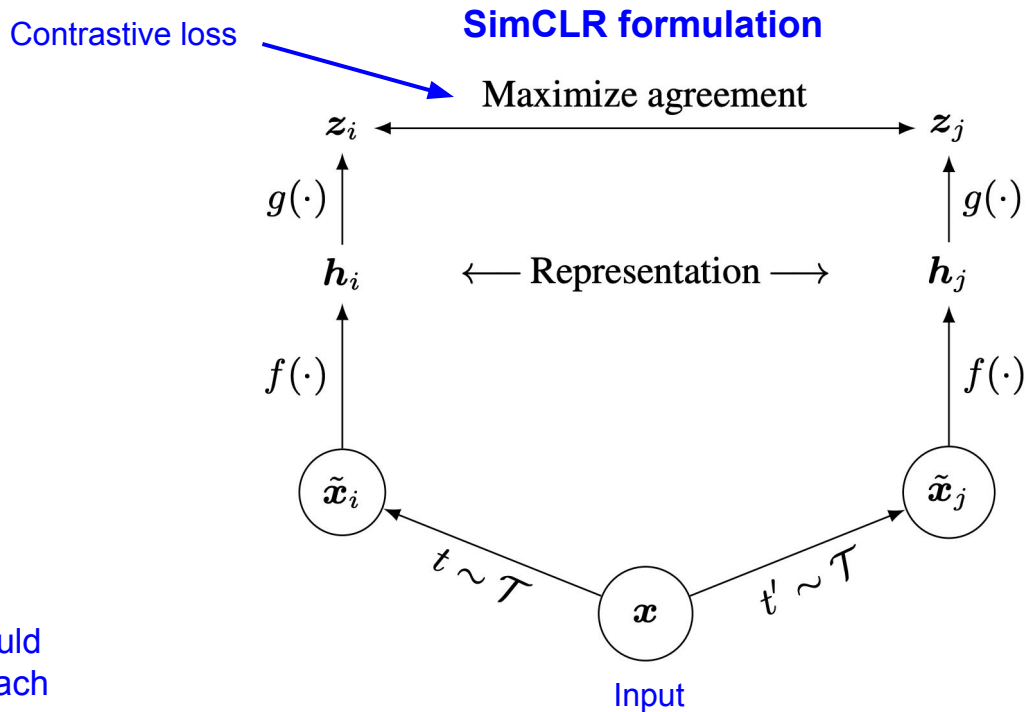
Chen et al. 2020

# SimCLR: a common approach for **contrastive** self-supervised learning



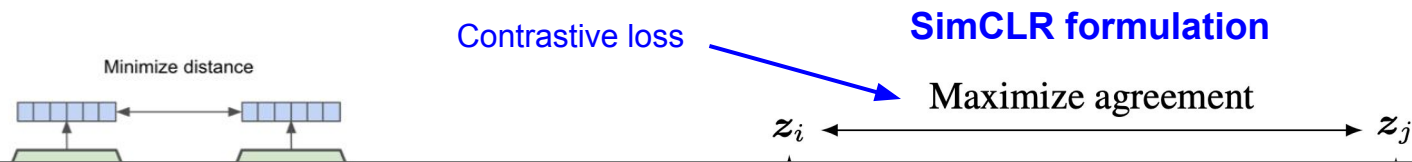
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Chen et al. 2020

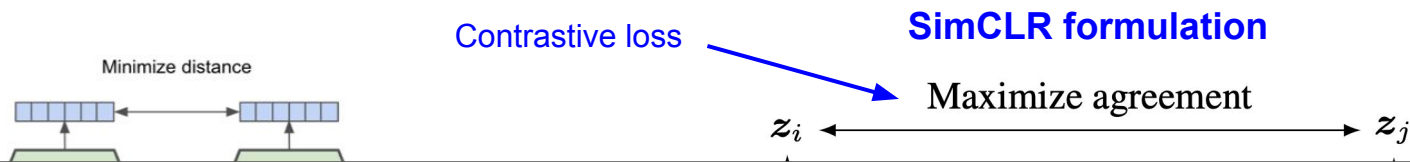
# SimCLR: a common approach for **contrastive** self-supervised learning



Contrastive loss can take the form of a familiar cross-entropy loss!

$$\ell_{i,j} = -\log \frac{\exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_j)/\tau)}{\sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_k)/\tau)}$$

# SimCLR: a common approach for **contrastive** self-supervised learning

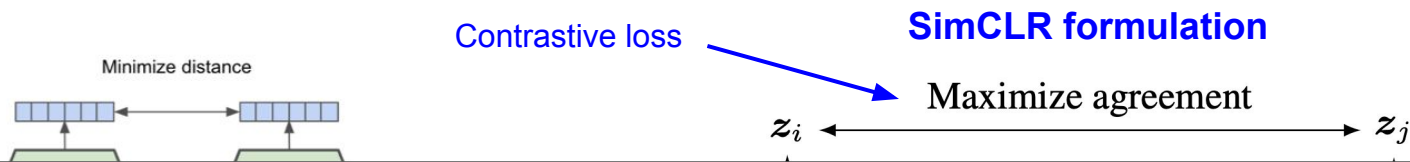


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Compute loss over a minibatch of  $N$  examples. Generate two augmented views of each example, resulting in  $2N$  data points total. Now in the contrastive loss, we wish for a pair of data points  $(i,j)$  corresponding to augmentations of the same example to have closer representation similarity than with other data points generated from different examples. Use a cross-entropy formulation: given data point  $i$ , similarity with data point  $j$  should have higher score than with all other points such that it is “correctly classified”!

# SimCLR: a common approach for **contrastive** self-supervised learning



Contrastive loss can take the form of a familiar cross-entropy loss!

Loss for a pair of data points (i,j)

$$\ell_{i,j} = -\log \frac{\exp(\text{sim}(z_i, z_j)/\tau)}{\sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(\text{sim}(z_i, z_k)/\tau)}$$

Compute loss over a minibatch of  $N$  examples. Generate two augmented views of each example, resulting in  $2N$  data points total. Now in the contrastive loss, we wish for a pair of data points (i,j) corresponding to augmentations of the same example to have closer representation similarity than with other data points generated from different examples. Use a cross-entropy formulation: given data point  $i$ , similarity with data point  $j$  should have higher score than with all other points such that it is “correctly classified”!

# SimCLR: a common approach for **contrastive** self-supervised learning



Contrastive loss can take the form of a familiar cross-entropy loss!

Similarity score between final-layer representations of  $i$  and  $j$

$$\ell_{i,j} = -\log \frac{\exp(\text{sim}(z_i, z_j)/\tau)}{\sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(\text{sim}(z_i, z_k)/\tau)}$$



# SimCLR: a common approach for **contrastive** self-supervised learning



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Similarity score between final-layer representations of  $i$  and  $j$

$$\ell_{i,j} = -\log \frac{\exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_j)/\tau)}{\sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_k)/\tau)}$$

Use cosine similarity  $\text{sim}(\mathbf{u}, \mathbf{v}) = \mathbf{u}^\top \mathbf{v} / \|\mathbf{u}\| \|\mathbf{v}\|$

# SimCLR: a common approach for **contrastive** self-supervised learning



Contrastive loss can take the form of a familiar cross-entropy loss!

Exponentiate

$$\ell_{i,j} = -\log \frac{\exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_j)/\tau)}{\sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_k)/\tau)}$$

From here, looks very similar to softmax loss (generalized cross entropy to multiple classes)

$$L_{Softmax} = \frac{1}{M} \sum_i -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

# SimCLR: a common approach for **contrastive** self-supervised learning



Contrastive loss can take the form of a familiar cross-entropy loss!

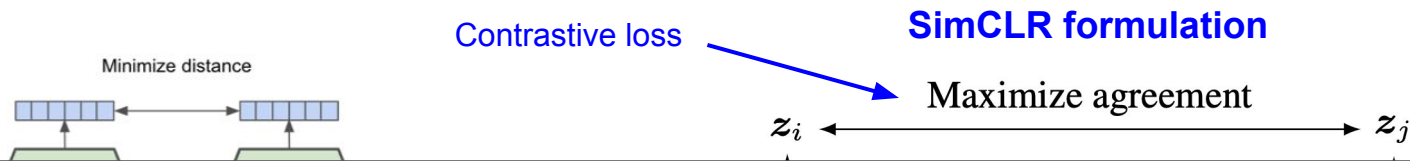
$$\ell_{i,j} = -\log \frac{\exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_j)/\tau)}{\sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_k)/\tau)}$$

Detail: Loss uses a temperature hyperparameter, controls peakiness of final probability distribution for better learning dynamics

From here, looks very similar to softmax loss (generalized cross entropy to multiple classes)

$$L_{Softmax} = \frac{1}{M} \sum_i -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

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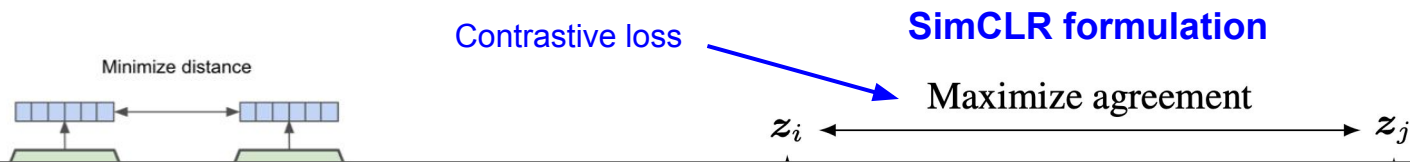
$$\ell_{i,j} = -\log \frac{\exp(\text{sim}(z_i, z_j)/\tau)}{\sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(\text{sim}(z_i, z_k)/\tau)}$$

Normalize over scores of similarity between  $i$  and all other data points in the minibatch ( $2N$  total)

From here, looks very similar to softmax loss (generalized cross entropy to multiple classes)

$$L_{Softmax} = \frac{1}{M} \sum_i -\log\left(\frac{e^{s_{y_i}}}{\sum_j e^{s_j}}\right)$$

# SimCLR: a common approach for **contrastive** self-supervised learning



Contrastive loss can take the form of a familiar cross-entropy loss!

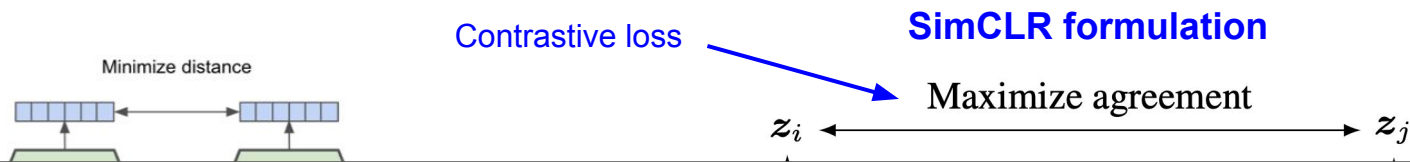
Negative log likelihood,  
as in softmax /  
cross-entropy

$$\ell_{i,j} = -\log \frac{\exp(\text{sim}(z_i, z_j)/\tau)}{\sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(\text{sim}(z_i, z_k)/\tau)}$$

From here, looks very similar to  
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# SimCLR: a common approach for **contrastive** self-supervised learning



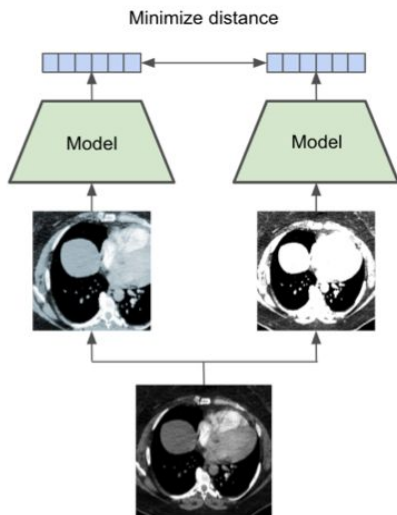
Contrastive loss can take the form of a familiar cross-entropy loss!

$$\ell_{i,j} = -\log \frac{\exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_j)/\tau)}{\sum_{k=1}^{2N} \mathbb{1}_{[k \neq i]} \exp(\text{sim}(\mathbf{z}_i, \mathbf{z}_k)/\tau)}$$

For a minibatch of  $N$  examples ( $2N$  augmented data points), compute this loss over all corresponding pairs  $(i,j)$ , as well as  $(j,i)$  for symmetry of the loss, and then average these individual loss terms ( $2N$  terms total)

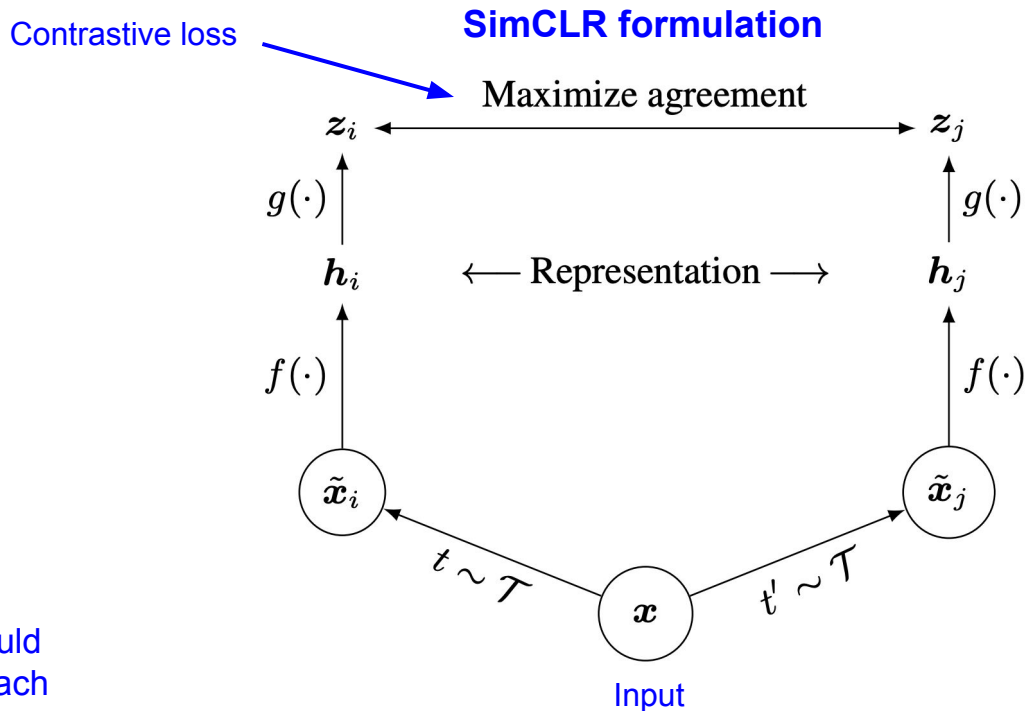
$$\mathcal{L} = \frac{1}{2N} \sum_{k=1}^N [\ell(2k-1, 2k) + \ell(2k, 2k-1)]$$

# SimCLR: a common approach for **contrastive** self-supervised learning



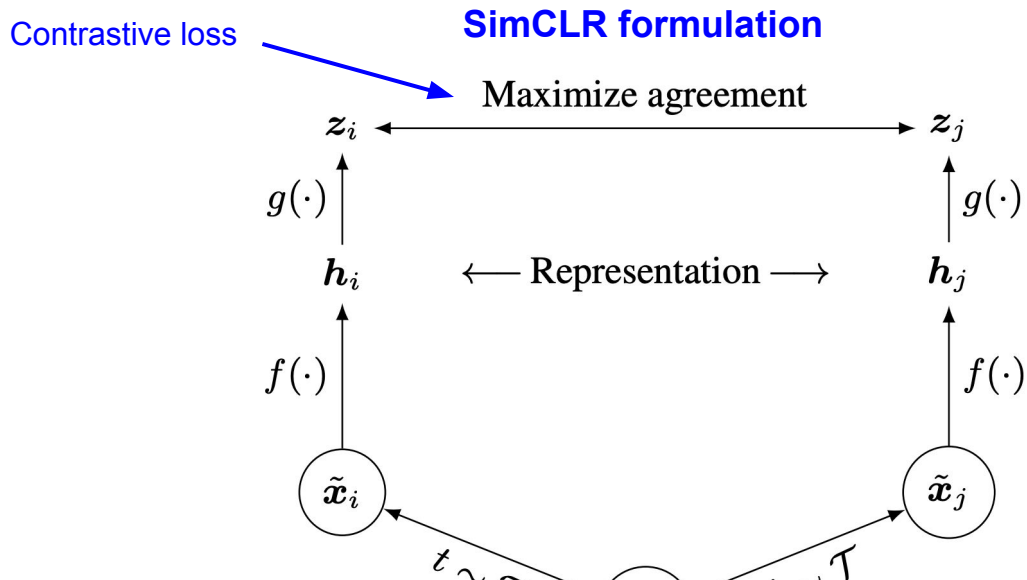
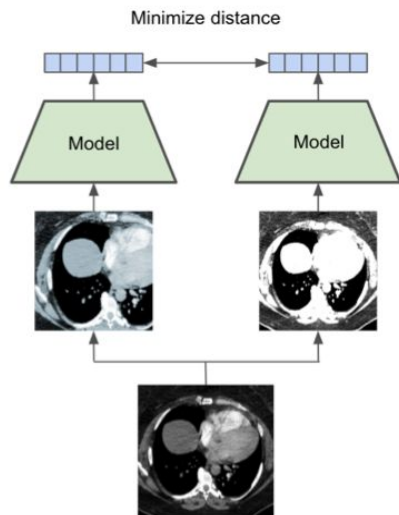
## Contrastive objective

Different views of the same input should have more similar representation to each other than with a different input



Chen et al. 2020

# SimCLR: a common approach for **contrastive** self-supervised learning

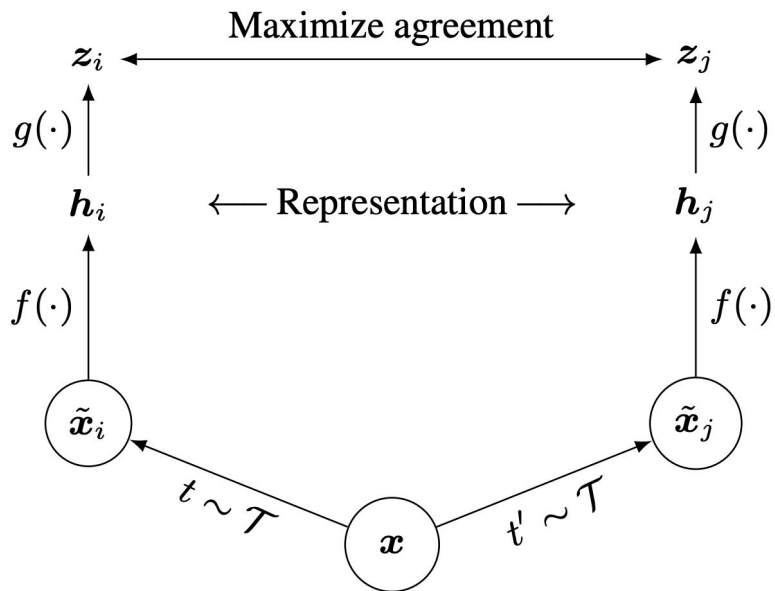


After self-supervised training, can fine-tune the encoder  $f$  on smaller labeled datasets. Can also directly extract learned representations  $h$  for downstream tasks.

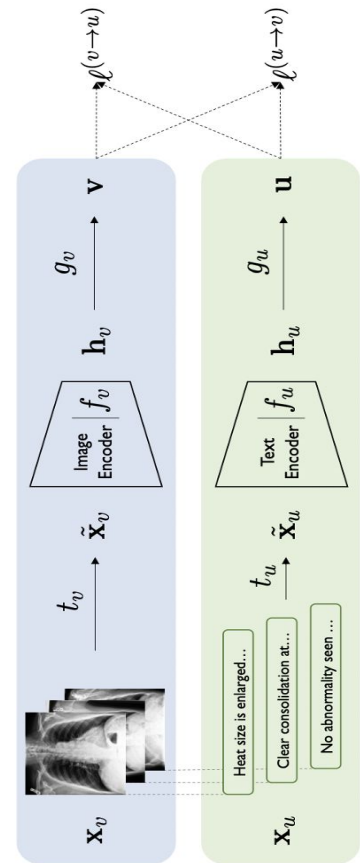


# Multimodal contrastive learning

## SimCLR (single-modality)

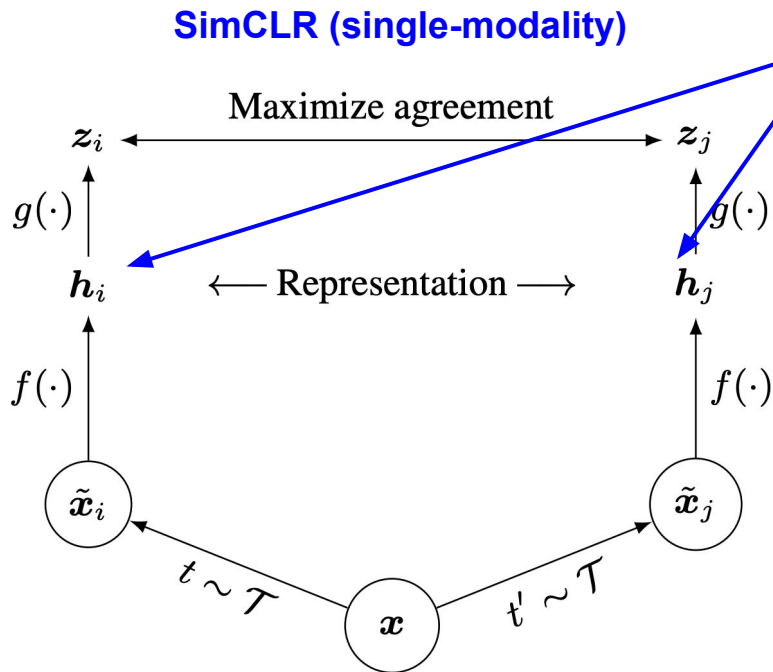


## ConVIRT (multi-modality)

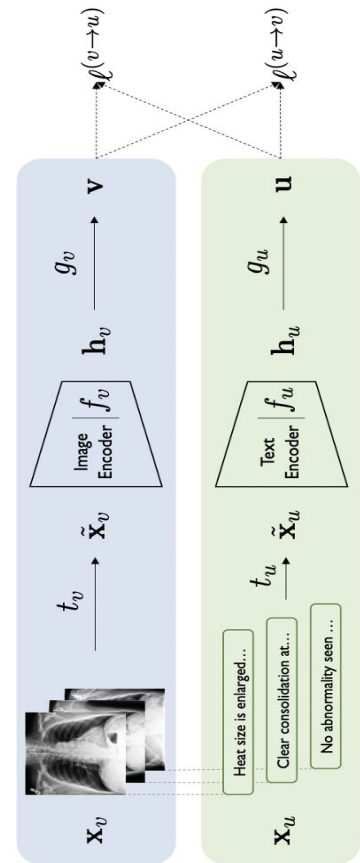


# Multimodal contrastive learning

## ConVIRT (multi-modality)

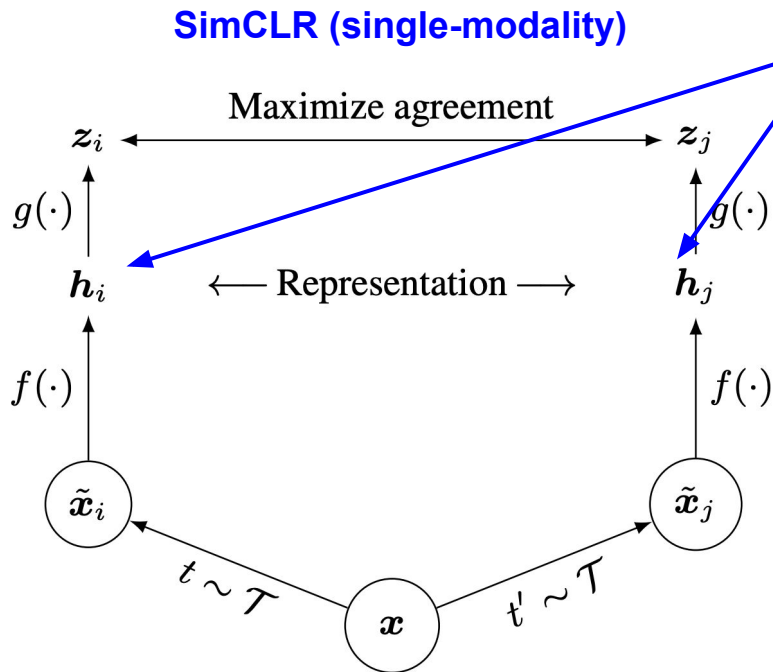


In **single-modality** contrastive learning, representations  $h$  are **shared-encoder** outputs of two different augmentations of the same input. Want augmentations corresponding to the same input to be more similar to each other than to those corresponding to different inputs

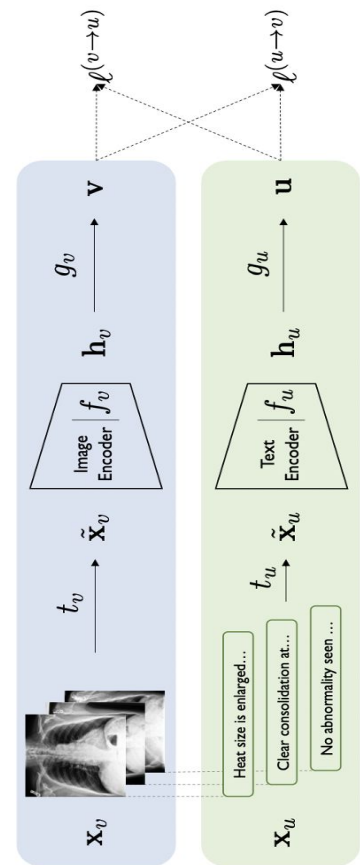


# Multimodal contrastive learning

## ConVIRT (multi-modality)

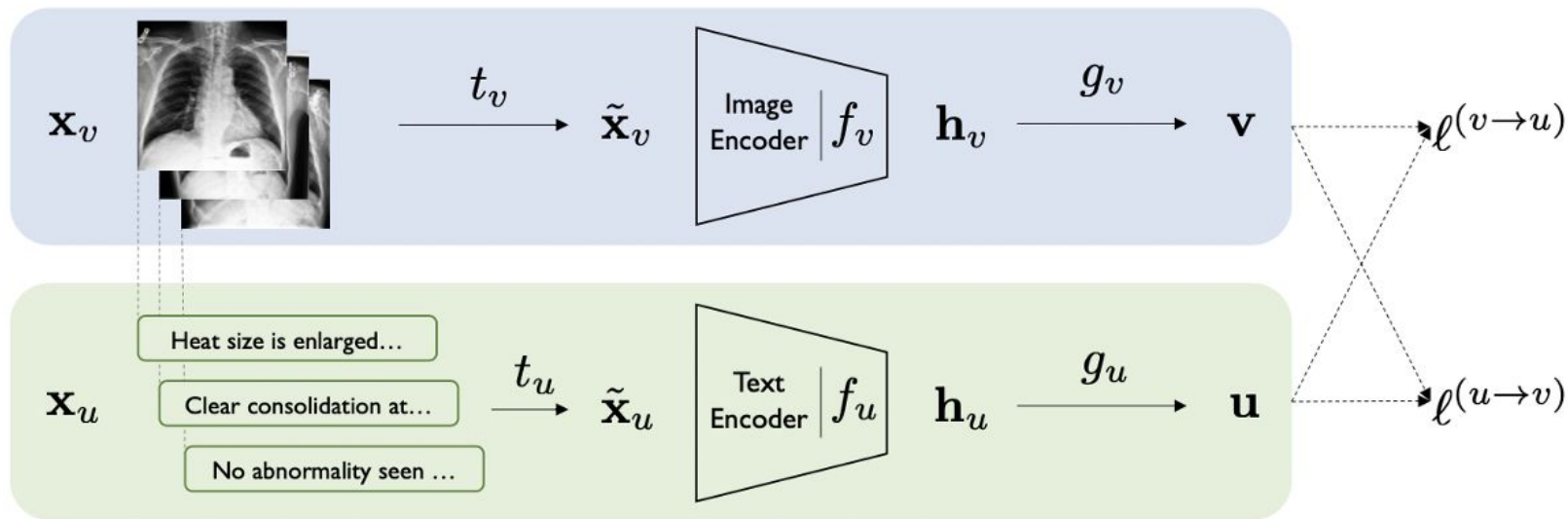


In **multi-modality contrastive learning**, representations  $h$  are encoder outputs of the same concept (e.g. radiology image and corresponding report), from **two different modality-specific encoders**. Want these to be more similar to each other than with non-corresponding images / reports.



# ConVIRT

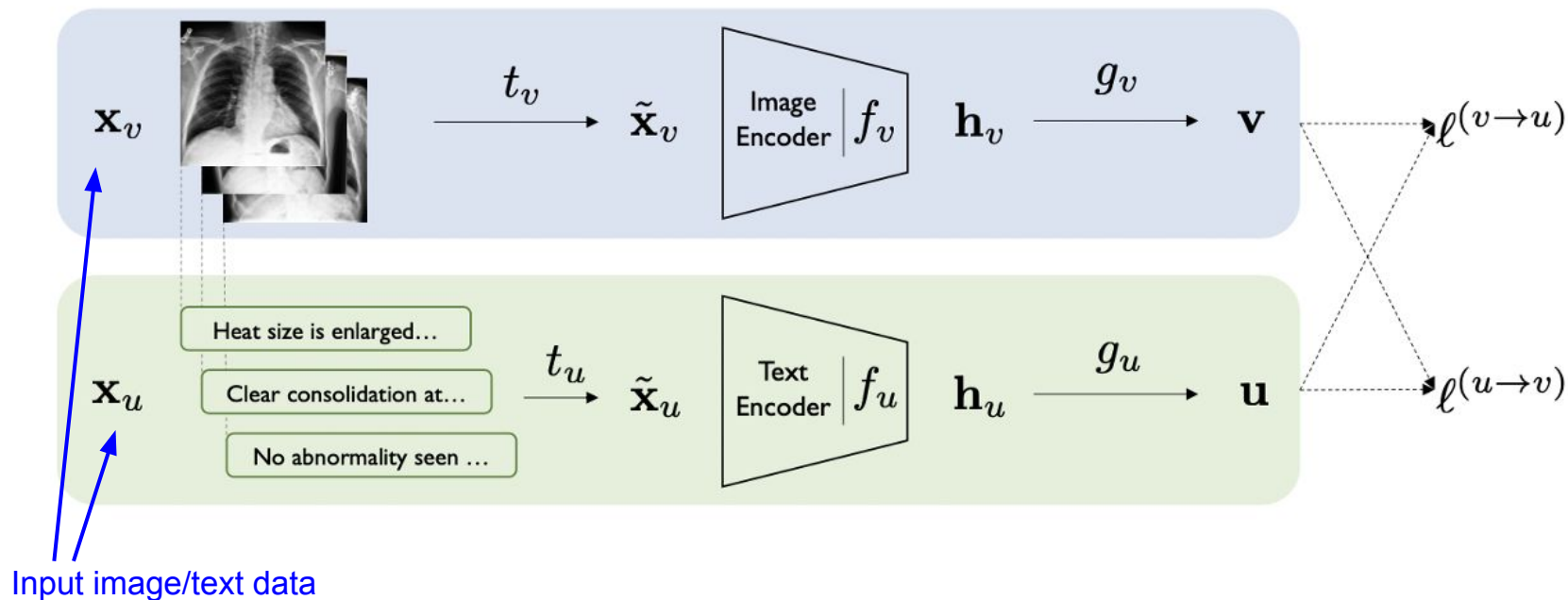
Multimodal contrastive pre-training on 217k image-text from the MIMIC-CXR dataset



Zhang et al. 2020.

# ConVIRT

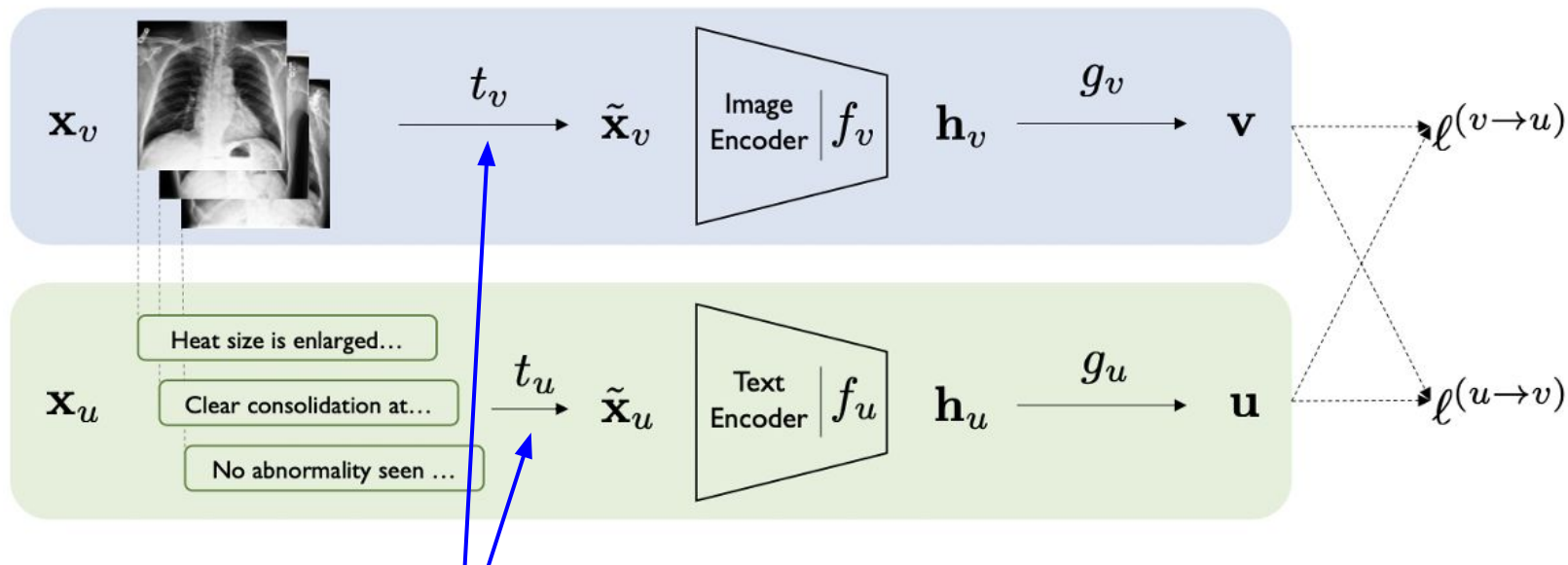
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Zhang et al. 2020.

# ConVIRT

Multimodal contrastive pre-training on 217k image-text from the MIMIC-CXR dataset

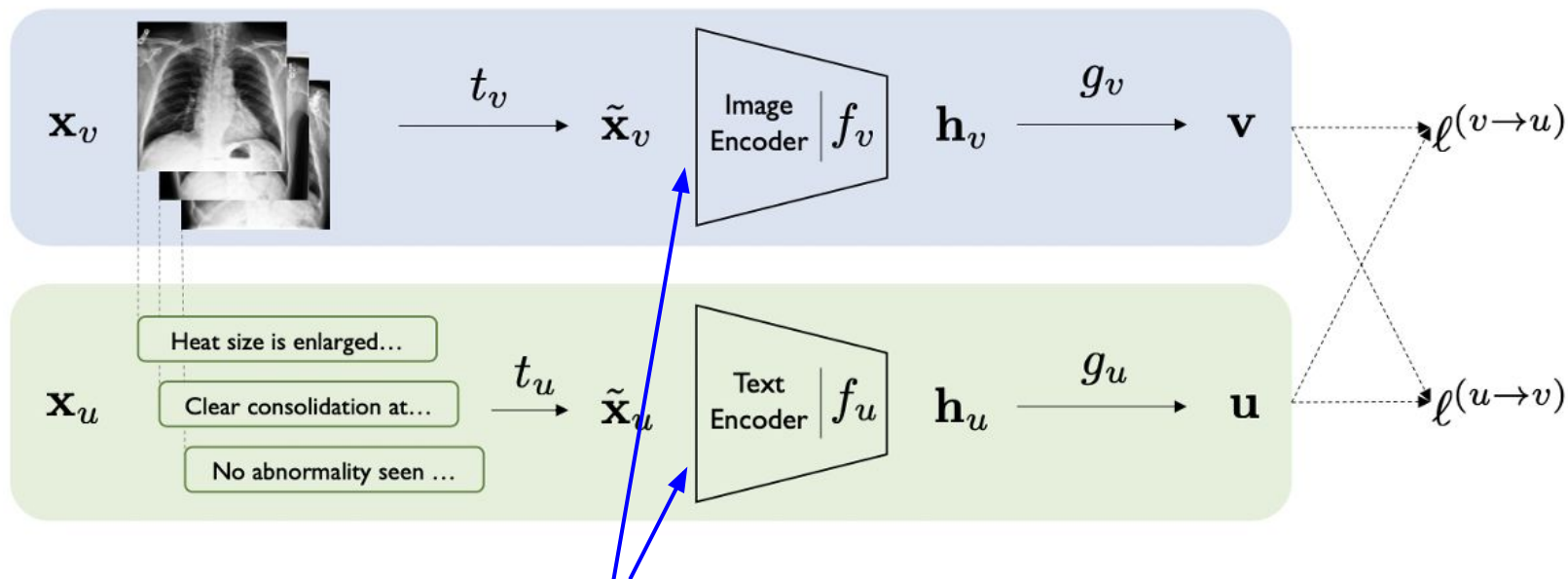


Modality-specific sampling  
and transformation

Zhang et al. 2020.

# ConVIRT

Multimodal contrastive pre-training on 217k image-text from the MIMIC-CXR dataset

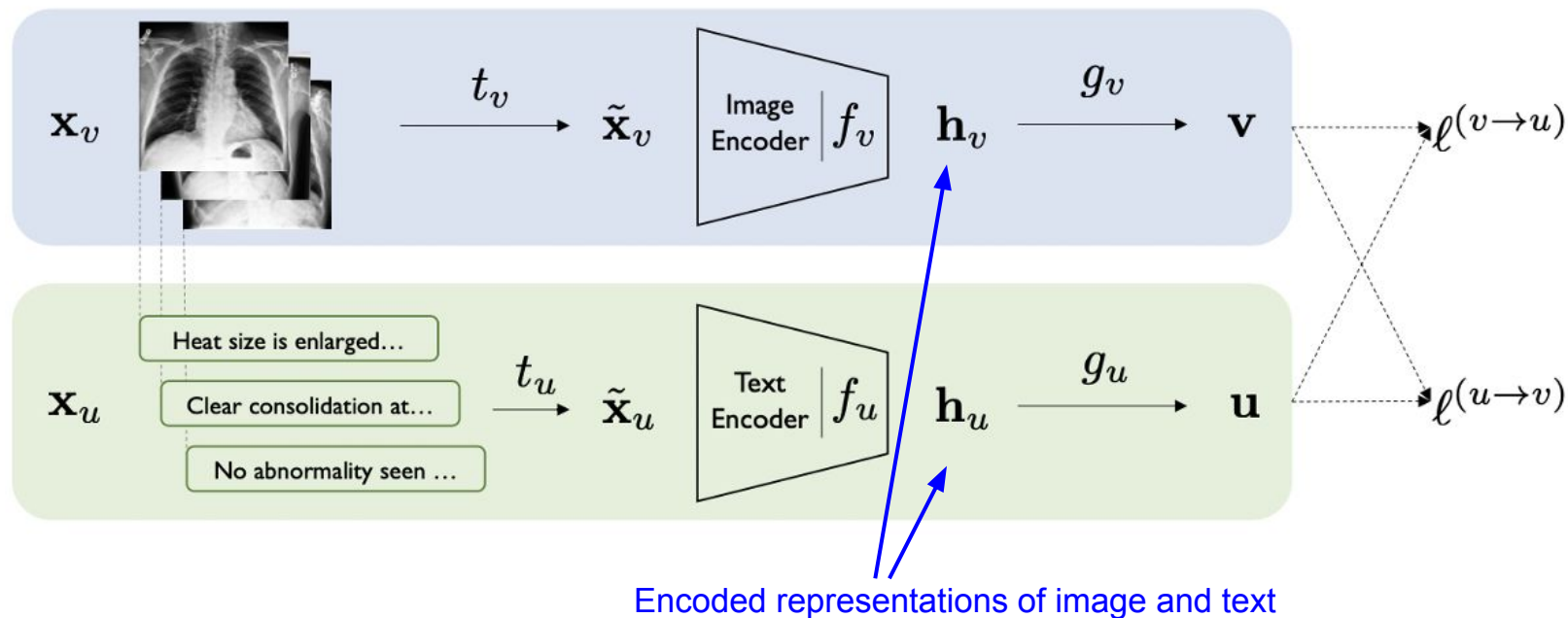


Modality-specific encoders: ResNet-50 for images and BERT (initialized with ClinicalBERT) for text. Only fine-tune last 6 layers of BERT encoder during pre-training.

Zhang et al. 2020.

# ConVIRT

Multimodal contrastive pre-training on 217k image-text from the MIMIC-CXR dataset

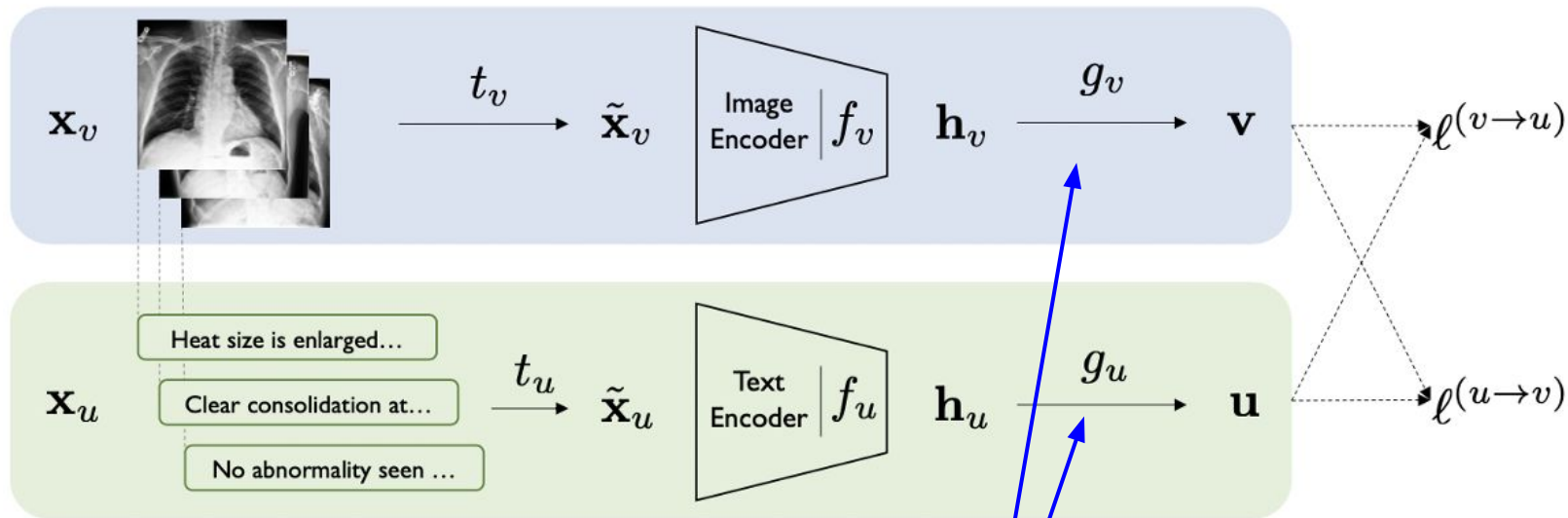


Zhang et al. 2020.



# ConVIRT

Multimodal contrastive pre-training on 217k image-text from the MIMIC-CXR dataset

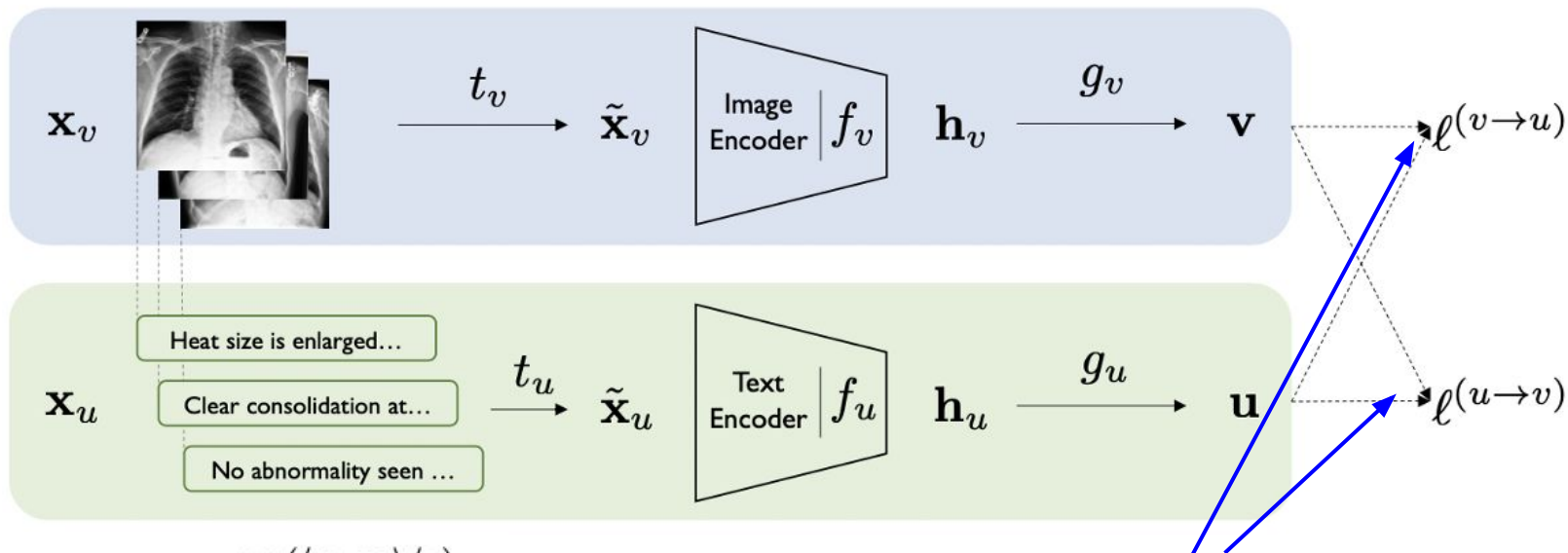


Small projection function (MLP) used only during contrastive learning, not downstream task fine-tuning, as with SimCLR

Zhang et al. 2020.

# ConVIRT

Multimodal contrastive pre-training on 217k image-text from the MIMIC-CXR dataset



$$\ell_i^{(v \rightarrow u)} = -\log \frac{\exp(\langle \mathbf{v}_i, \mathbf{u}_i \rangle / \tau)}{\sum_{k=1}^N \exp(\langle \mathbf{v}_i, \mathbf{u}_k \rangle / \tau)}$$

Zhang et al. 2020.

Same contrastive loss on projection function outputs, as in SimCLR.  
“Correct” matched pairs are now those from the same patient image/text case, different from the two augmented views of the same input in SimCLR.

# GLORIA

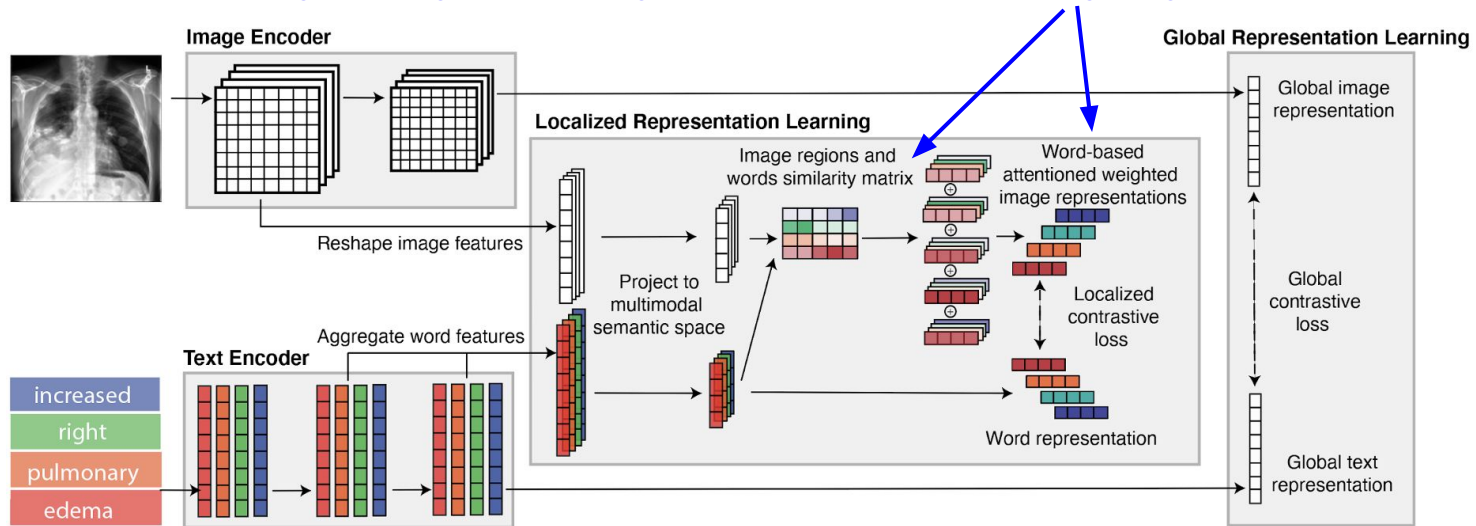
Many radiology reports are long – associating all parts of a report equally with all regions of an image may be too coarse

Huang et al. 2021.

# GLORIA

Many radiology reports are long – associating all parts of a report equally with all regions of an image may be too coarse

Extension to ConVIRT: beyond global contrastive loss, jointly train with a localized contrastive loss between words and attention-weighted regions of images (learn the attention weighting, as in previous lectures)

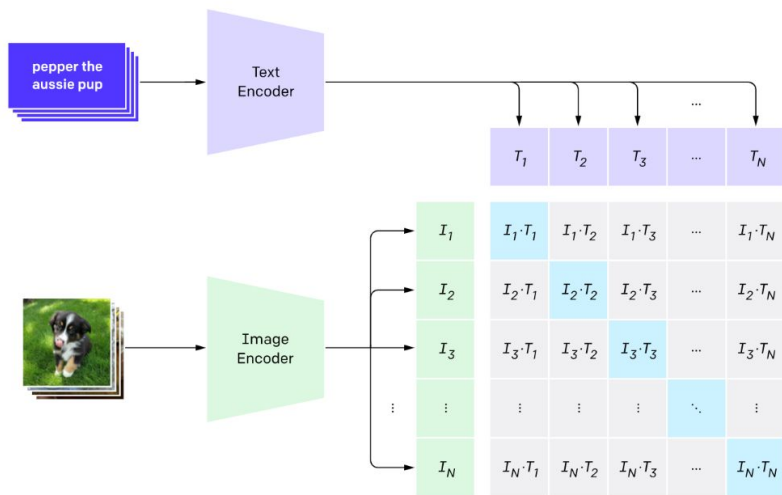


Huang et al. 2021.

# CLIP

Multimodal contrastive learning similar to ConVIRT, but now on very large dataset of 400 million image-text pairs

## 1. Contrastive pre-training

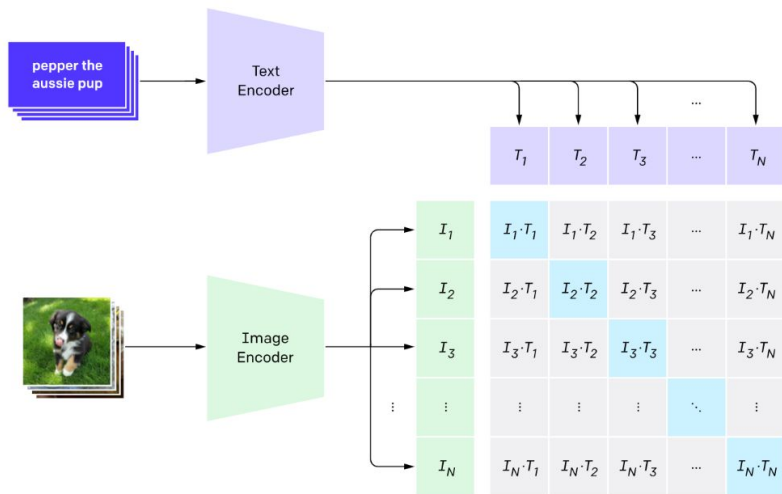


Radford et al. 2021.

# CLIP

Multimodal contrastive learning similar to ConVIRT, but now on very large dataset of 400 million image-text pairs

## 1. Contrastive pre-training



Dataset generated by searching for image-text pairs on the web, where text comes from a base query list of 500,000 queries comprising all words occurring at least 100 times in the English version of Wikipedia. This is augmented and processed in various ways, see paper for details.

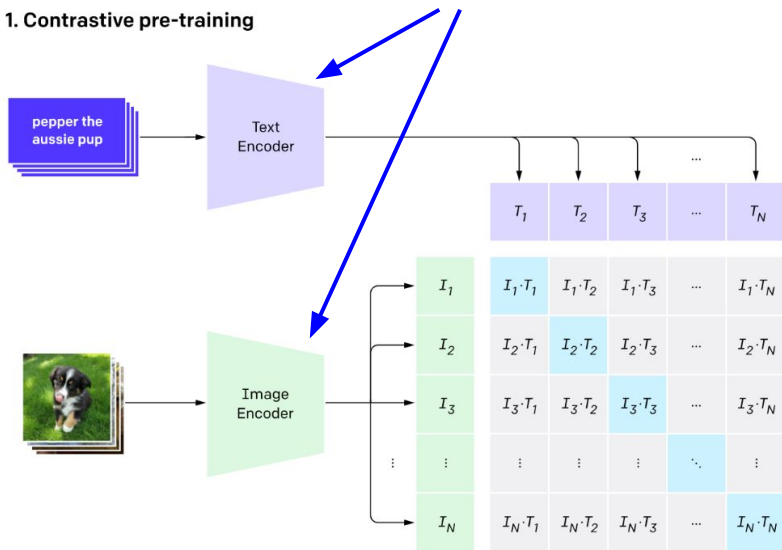
Radford et al. 2021.

# CLIP

Multimodal contrastive learning similar to ConVIRT, but now on very large dataset of 400 million image-text pairs

Transformer-based, trained from scratch

## 1. Contrastive pre-training



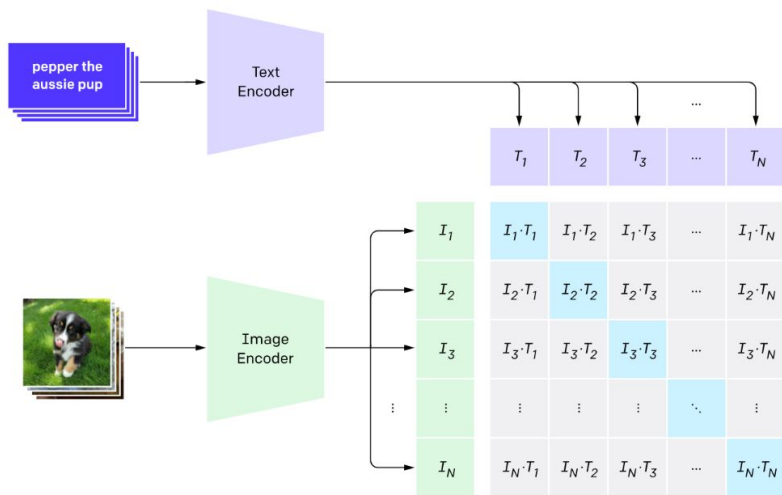
Radford et al. 2021.

# CLIP

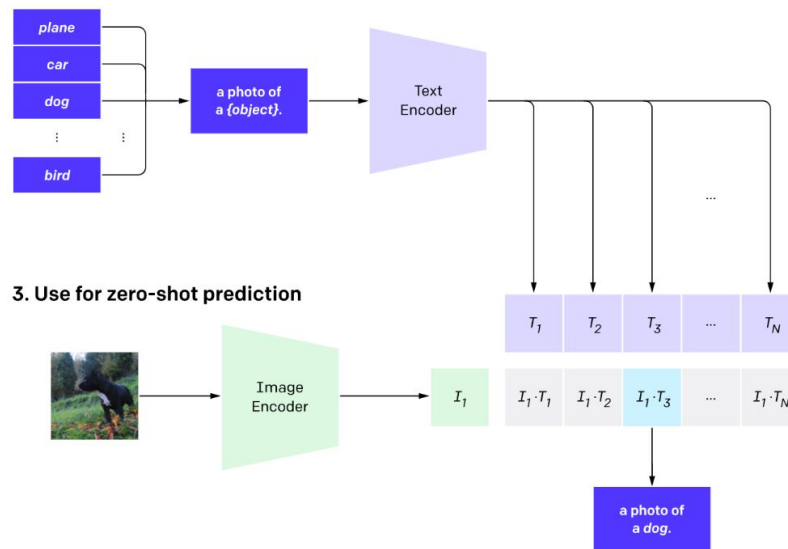
Multimodal contrastive learning similar to ConVIRT, but now on very large dataset of 400 million image-text pairs

Can be used for **zero-shot** prediction tasks

## 1. Contrastive pre-training



## 2. Create dataset classifier from label text



Radford et al. 2021.



## Complementary to self-supervision: **weak supervision** is another class of methods to improve learning in limited label scenarios

- Machine learning paradigm where labels for supervised training are obtained from noisy or imprecise (but more easily accessible) sources
- One possibility is through corresponding data available in a different modality! (e.g., radiology reports as a source of weak supervision for radiology images)

# Weak supervision from radiology reports

Can use rule-based approaches for obtaining labels from free-text radiology reports

Indication: Chest pain. Findings: Mediastinal contours are within **normal** limits. Heart size is within **normal** limits. **No** focal consolidation, **pneumothorax** or **pleural effusion**. Impression: **No** acute cardiopulmonary abnormality.

Normal Report

```
def LF_pneumothorax(c):
    if re.search(r'pneumo.*', c.report.text):
        return "ABNORMAL"

def LF_pleural_effusion(c):
    if "pleural effusion" in c.report.text:
        return "ABNORMAL"

def LF_normal_report(c, thresh=2):
    if len(NORMAL_TERMS.intersection(c.
report.words)) > thresh:
        return "NORMAL"
```

LFs

Figure credit: Nishith Khandwala et al., 2017.

Dunmon et al. Cross-Modal Data Programming Enables Rapid Medical Machine Learning, 2020.

# How can we produce good labels from noisy sources?

One approach: Aggregate multiple rules (labeling functions) with majority voting

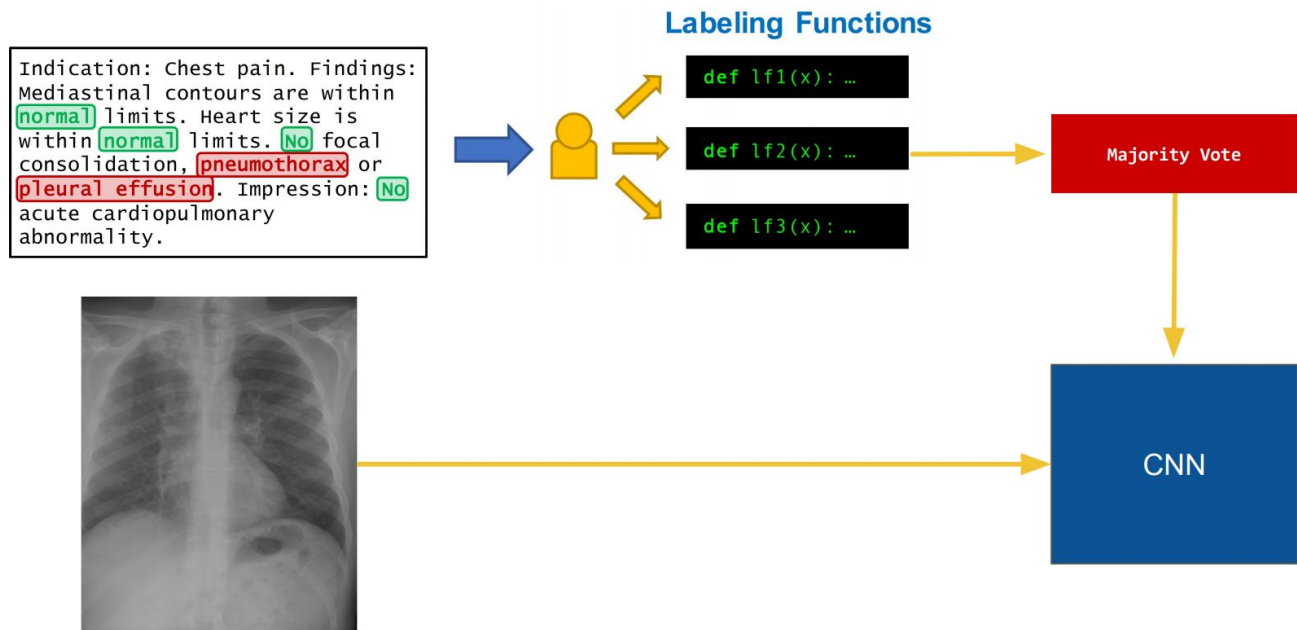


Figure credit: Nishith Khandwala et al., 2017.

Dunmon et al. Cross-Modal Data Programming Enables Rapid Medical Machine Learning, 2020.

# How can we produce good labels from noisy sources?

More sophisticated approach: learn models for how to best aggregate noisy labeling functions!

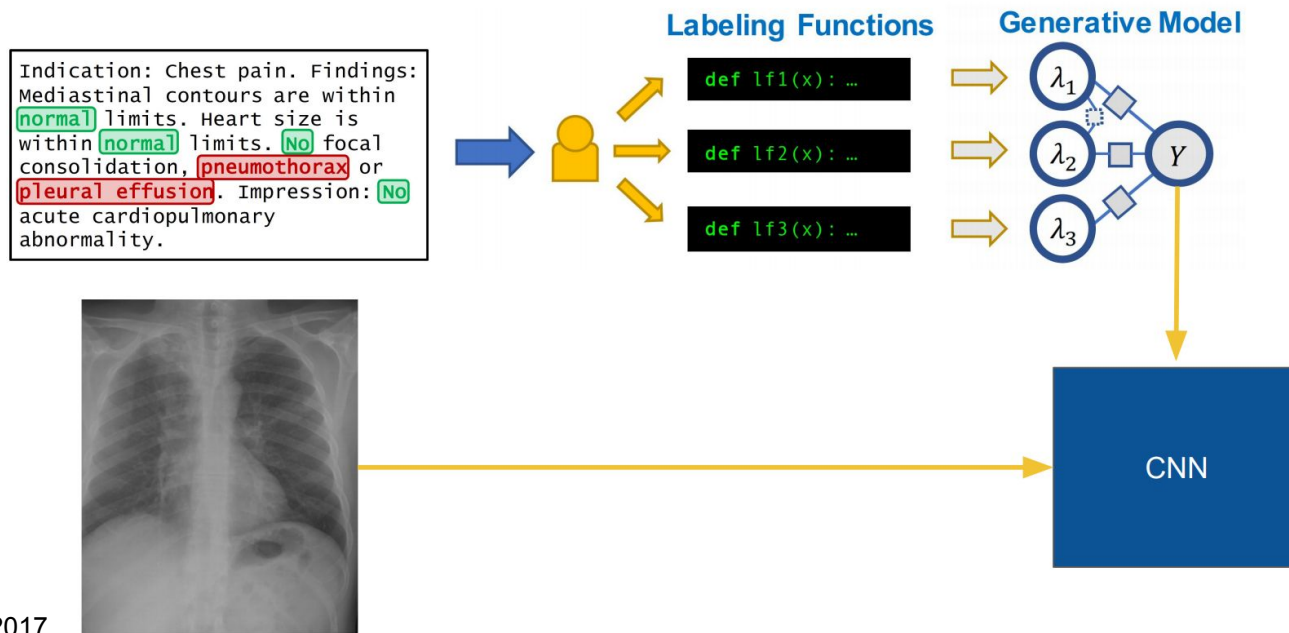


Figure credit: Nishith Khandwala et al., 2017.

Dunmon et al. Cross-Modal Data Programming Enables Rapid Medical Machine Learning, 2020.

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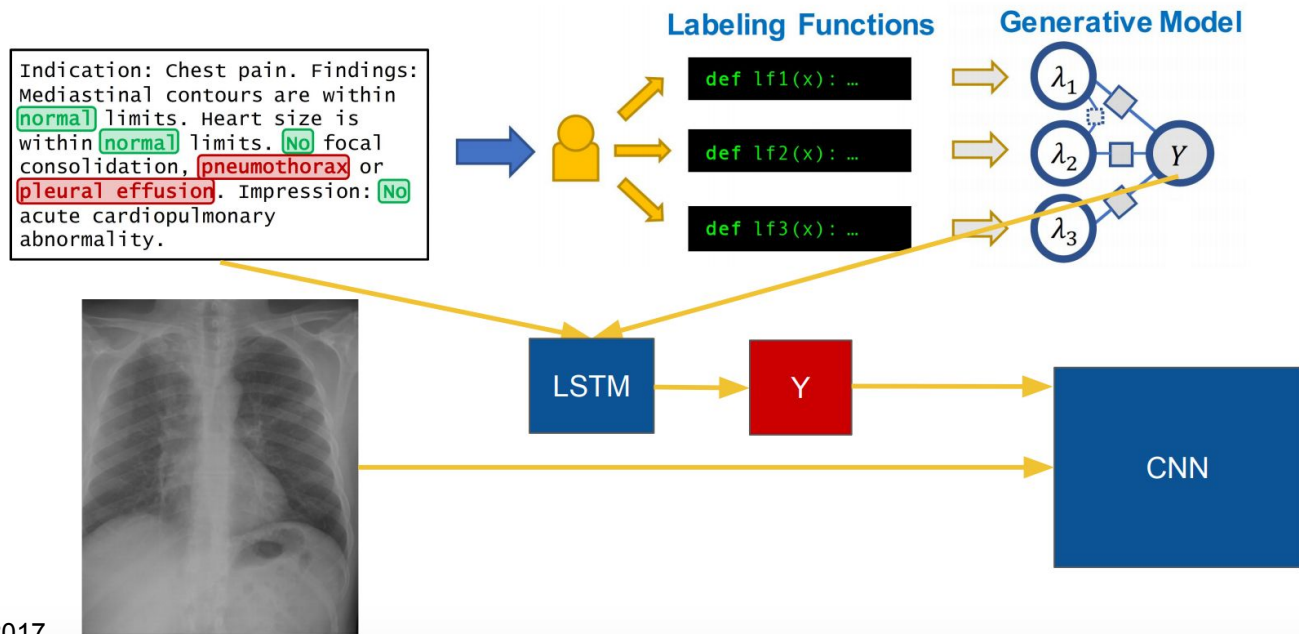
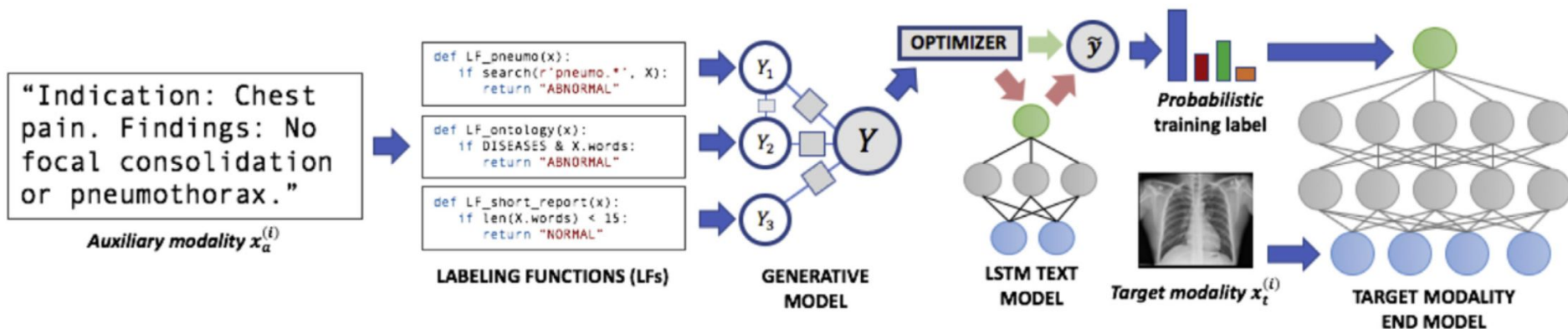


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# “Data programming” paradigm for weak supervision



Dunmon et al. Cross-Modal Data Programming Enables Rapid Medical Machine Learning, 2020.

# Summary

## Today we covered:

- Multimodal data and models
- Self-supervised learning (including contrastive learning)
  - Both single-modality and multi-modality
- Weakly supervised learning

## Next time:

- More on Transformers and Multimodal Models